

**BearWorks** 

[College of Natural and Applied Sciences](https://bearworks.missouristate.edu/articles-cnas)

1-1-2021

# Global Stability Analysis of a General Model of Zika Virus

K. Bessey

M. Mavis

Jorge Rebaza Missouri State University

J. Zhang

Follow this and additional works at: [https://bearworks.missouristate.edu/articles-cnas](https://bearworks.missouristate.edu/articles-cnas?utm_source=bearworks.missouristate.edu%2Farticles-cnas%2F3242&utm_medium=PDF&utm_campaign=PDFCoverPages) 

#### Recommended Citation

Bessey, K., M. Mavis, J. Rebaza, and J. Zhang. "Global stability analysis of a general model of Zika virus." Nonautonomous Dynamical Systems 6, no. 1 (2019): 18-34.

This article or document was made available through BearWorks, the institutional repository of Missouri State University. The work contained in it may be protected by copyright and require permission of the copyright holder for reuse or redistribution.

For more information, please contact [bearworks@missouristate.edu.](mailto:bearworks@missouristate.edu)

#### **Research Article Open Access**

# K. Bessey, M. Mavis, J. Rebaza\*, and J. Zhang **Global Stability Analysis of a General Model of Zika Virus**

<https://doi.org/10.1515/msds-2019-0002> Received December 4, 2018; accepted March 21, 2019

**Abstract:** Mathematical models of Zika virus dynamics are relatively new, and they mostly focus on either vector and horizontal, or vector and vertical transmission only. In this work, we first revisit a recent model that considers vector and vertical transmission, and we provide an alternative proof on the global stability of the disease-free equilibrium point. Then, a new and general model is presented which includes vector, horizontal and vertical transmission. For this new model, existence of both a disease-free and an endemic equilibrium is studied. Using matrix and graph-theoretic methods, appropriate Lyapunov functions are constructed and results on the global stability properties of both equilibria are established.

**Keywords:** Disease epidemics, dynamical systems, global stability, Lyapunov functions

**MSC:** 37N25, 92D25

# **1 Introduction**

Zika virus is mainly transmitted to humans through bites of infected female mosquitoes from the Aedes genus. Infected humans can pass this disease to other humans via horizontal (sexual activity) or vertical (mother– child) transmission. In addition, infected humans can also pass the disease to susceptible mosquitoes, when bitten. In general, the stage of the infection determines the type and the intensity of the transmission: during the incubation period, infected humans can infect both susceptible mosquitoes and humans; after this exposed period, symptomatic humans are more contagious to mosquitoes, and at the convalescence stage, they can no longer infect mosquitoes, but they can still spread the infection to humans through sexual activity. Some studies suggest a correlation between Zika virus infection and congenital anomalies such as microcephaly and Guillain-Barre syndrome. Data available for these studies mostly come from the outbreaks in Micronesia (2007), Polynesia (2013), and Brazil (2015). We refer the reader to [\[1,](#page-16-0) [4,](#page-16-1) [8,](#page-16-2) [10,](#page-16-3) [13,](#page-17-1) [14,](#page-17-2) [20\]](#page-17-3) and the references therein for a detailed biological and epidemiological description of the Zika virus.

Various types of disease epidemics have been extensively studied mathematically (e.g. [\[6,](#page-16-4) [11,](#page-16-5) [12,](#page-17-4) [16,](#page-17-5) [18,](#page-17-6) [19\]](#page-17-7) ). Mathematical modeling of these diseases has helped understand the dynamics of the disease, the conditions under which an outbreak can occur, and how the disease would spread. However, mathematical models on the dynamics of Zika virus are relatively new, and most of them study vector (mosquito-human and humanmosquito) and horizontal transmission only [\[2,](#page-16-6) [10,](#page-16-3) [14,](#page-17-2) [17\]](#page-17-8). Evidence of Zika virus vertical (congenital and perinatal) transmission has been reported by the Center for Disease Control (CDC). Further possible evidence of vertical transmission has only recently been reported (e.g. [\[3,](#page-16-7) [5,](#page-16-8) [13,](#page-17-1) [20\]](#page-17-3)). A recent work [\[1\]](#page-16-0), considered a mathematical model for vector and vertical Zika transmission, but did not include horizontal transmission;

**K. Bessey:** Department of Mathematics, University of North Georgia, E-mail: khbess2478@ung.edu

**M. Mavis:** Rosenstiel School of Marine and Atmospheric Science, University of Miami, E-mail: mem126@miami.edu

**<sup>\*</sup>Corresponding Author: J. Rebaza:** Department of Mathematics, Missouri State University, E-mail: jrebaza@missouristate.edu **J. Zhang:** Department of Mathematics, Johns Hopkins University, E-mail: jzhan@154@jhu.edu

the authors also studied the development of microcephaly in newborns, and identified the most important parameters that influence the spread of the disease.

In this work, we extend and generalize previous research on mathematical models for Zika virus dynamics by proposing a model that includes vector, horizontal and vertical transmission simultaneously. While for most models on Zika virus (e.g. [\[1,](#page-16-0) [10,](#page-16-3) [17\]](#page-17-8)), an endemic equilibrium does not exist, the dynamical system in this new model has both a disease-free and an endemic equilibrium, and we provide rigorous proofs on the global stability properties of both equilibrium points.

We start by revisiting the model presented in [\[1\]](#page-16-0), and provide an alternative proof on the global stability of the disease-free equilibrium, under fewer restrictions. We then propose a new and more general model that includes vector, horizontal and vertical transmission. Using matrix and graph-theoretic methods from [\[19\]](#page-17-7), we provide results on the global stability properties of both equilibria. To the best of our knowledge, this is the first model of Zika virus dynamics that includes the three types of transmission.

# <span id="page-2-1"></span>**2 Vector and Vertical Transmission**

#### **2.1 The Original Model**

<span id="page-2-0"></span>We start by studying the model presented by Agusto et al. [\[1\]](#page-16-0), which includes vector and vertical transmission only. Human population is divided into newborns, denoted with a *B* subscript, and everyone else (referred to as adults), denoted with a *W* subscript. An individual with microcephaly is denoted with an *M* subscript. Table 1 describes in detail the variables and parameters under study. The model proposed in [\[1\]](#page-16-0) is given by:

$$
S'_{B} = \pi_{B} - q_{A}\pi_{B}A_{W} - q_{I}\pi_{B}I_{W} - q_{R}\pi_{B}R_{W} - \lambda_{B}(I_{V}, N_{B})S_{B} - (\alpha + \mu_{B})S_{B}
$$
  
\n
$$
E'_{B} = \lambda_{B}(I_{V}, N_{B})S_{B} - (\alpha + \sigma_{B} + \mu_{B})E_{B}
$$
  
\n
$$
A'_{B} = q_{A}\pi_{B}A_{W} + (1 - p)\sigma_{B}E_{B} - (\alpha + \gamma_{B} + \mu_{B})A_{B}
$$
  
\n
$$
I'_{B} = q_{I}\pi_{B}I_{W} + p\sigma_{B}E_{B} - (\alpha + \gamma_{B} + \mu_{B})I_{B}
$$
  
\n
$$
I'_{BM} = r q_{R}\pi_{B}R_{W} - (\alpha + \mu_{B})I_{BM}
$$
  
\n
$$
R'_{B} = (1 - r)q_{R}\pi_{B}R_{W} + \gamma_{B}A_{B} + \gamma_{B}I_{B} - (\alpha + \mu_{B})R_{B}
$$
  
\n
$$
S'_{W} = \alpha S_{B} - \lambda_{W}(I_{V}, N_{W})S_{W} - \mu_{W}S_{W}
$$
  
\n
$$
E'_{W} = \lambda_{W}(I_{V}, N_{W})S_{W} - (\sigma_{W} + \mu_{W})E_{W}
$$
  
\n
$$
I'_{W} = p\sigma_{W}E_{W} - (\gamma_{W} + \mu_{W})I_{W}
$$
  
\n
$$
I'_{WM} = \alpha I_{BM} - \mu_{W}I_{WM}
$$
  
\n
$$
R'_{W} = \alpha R_{B} + \gamma_{W}A_{W} + \gamma_{W}I_{W} - \mu_{W}R_{W}
$$
  
\n
$$
S'_{V} = \pi_{V} - \lambda_{V}(A_{B}, I_{B}, A_{W}, I_{W}, N_{B}, N_{W})S_{V} - \mu_{V}S_{V}
$$
  
\n
$$
I'_{V} = \sigma_{V}E_{V} - \mu_{V}I_{V},
$$

where  $\lambda_W(I_V, N_W) = \frac{\beta_W b_V I_V}{N_W}, \quad \lambda_B(I_V, N_B) = \frac{\eta \beta_B b_V I_V}{N_B},$  $\lambda_V(A_B,I_B,A_W,I_W,N_B,N_W)\,=\,\beta_V b_V\left(\frac{I_W+\rho_W A_W+\eta(I_B+\rho_B A_B)}{N_W+\eta N_B}\right),\text{ and (}$  denotes derivative with respect to  $t.$  We always assume *t* ≥ 0.

The total populations are given by:

$$
N_B = S_B + E_B + A_B + I_B + I_{BM} + R_B
$$
  
\n
$$
N_W = S_W + E_W + A_W + I_W + I_{WM} + R_W
$$
  
\n
$$
N_V = S_V + E_V + I_V,
$$

and  $N_H = N_B + N_W$ . All variables are non-negative, and all parameters are strictly positive (except for  $\rho_B$ ,  $\rho_W$ , which are taken to be non-negative).

**Table 1:** Adapted from Table 1 in [\[1\]](#page-16-0).



The terms  $q_A \pi_B A_W$ ,  $q_I \pi_B I_W$ ,  $q_R \pi_B R_W$  in model [\(2.1\)](#page-2-0) represent the vertical (mother - child) transmission, the functions  $\lambda_B$  and  $\lambda_W$  represent vector transmission from mosquitoes to newborns and adults respectively, and the function  $\lambda_V$  represents vector transmission from humans to mosquitoes. According to this model, asymptomatic and symptomatic mothers can give birth to asymptomatic or symptomatic babies respectively, while recovered mothers can give birth to babies who either have microcephaly or are recovered. On the other hand, humans exposed to the disease through vector transmission progress to either asymptomatic or symptomatic stages. It is also assumed that Zika virus generates lifelong immunity but individuals with microcephaly have a short lifespan. An SEI-type model is assumed for mosquitoes. For a more detailed interpretation of this model, see [\[1\]](#page-16-0).

For model [\(2.1\)](#page-2-0), one can readily show that the feasible region is

$$
\Gamma_1 = \Gamma_H \times \Gamma_V \subseteq \mathbb{R}^{12}_+ \times \mathbb{R}^3_+,
$$

where

$$
\Gamma_H = \{ (S_B, E_B, A_B, I_B, I_{BM}, R_B, S_W, E_W, A_W, I_W, I_{WM}, R_W) \in \mathbb{R}^{12}_+ : N_H \leq \frac{\pi_B}{\mu_H} + N_H(0) \},
$$
  
and 
$$
\Gamma_V = \{ (S_V, E_V, I_V) \in \mathbb{R}^3_+ : N_V \leq \frac{\pi_V}{\mu_V} + N_V(0) \},
$$

with  $\mu_H$  = min { $\mu_B$ ,  $\mu_W$ }.  $\Gamma_1$  is compact and invariant with respect to [\(2.1\)](#page-2-0).

**Remark 1.** *Note that the sets*  $\Gamma_H$  *and*  $\Gamma_V$  *here are different than the ones given in [\[1\]](#page-16-0).* 

<span id="page-4-0"></span>System [\(2.1\)](#page-2-0) has only one equilibrium point, which corresponds to the disease-free state. This disease-free equilibrium (DFE), denoted as  $E^0 = (S_B^0, E_B^0, A_B^0, I_B^0, I_B^0, R_B^0, S_W^0, E_W^0, A_W^0, I_W^0, I_{WM}^0, R_W^0, S_V^0, E_V^0, I_V^0)$  is given by:

$$
E^{0} = \left(\frac{\pi_{B}}{\alpha + \mu_{B}}, 0, 0, 0, 0, 0, \frac{\alpha \pi_{B}}{\mu_{W}(\alpha + \mu_{B})}, 0, 0, 0, 0, 0, \frac{\pi_{V}}{\mu_{V}}, 0, 0\right).
$$
 (2.2)

No endemic equilibrium point exists for this system.

#### <span id="page-4-1"></span>**2.2 Global Asymptotic Stability Analysis of the DFE**

To analyze the global asymptotic stability of the DFE, we consider system [\(2.1\)](#page-2-0) in compartmental form [\[19,](#page-17-7) [21\]](#page-17-9), by splitting the variables into two compartments: a disease compartment  $x \in \mathbb{R}^{10}$  and a nondisease compartment  $y \in \mathbb{R}^5$  :

$$
x = [E_B, A_B, I_B, I_{BM}, E_W, A_W, I_W, I_{WM}, E_V, I_V]^T
$$
, and  
 $y = [S_B, R_B, S_W, R_W, S_V].^T$ 

The entries in vectors  $\mathcal{F}(x, y)$  and  $\mathcal{V}(x, y) \in \mathbb{R}^{10}$  below, represent rate of new infections and transition terms respectively in the *i*-th disease compartment:

$$
\mathcal{F} = \begin{bmatrix} \frac{\eta_{Bb}^{ub}V_{Iv}}{N_{B}} S_{B} \\ 0 \\ \frac{\eta_{B}^{c}}{N_{B}} S_{W} \\ \frac{\beta_{Wb}^{u}V_{Iv}}{N_{W}} S_{W} \\ 0 \\ 0 \\ 0 \\ 0 \\ U \\ 0 \end{bmatrix}, \quad \mathcal{V} = \begin{bmatrix} (\alpha + \gamma_{B} + \mu_{B}) E_{B} \\ (\alpha + \gamma_{B} + \mu_{B}) A_{B} - q_{A} \pi_{B} A_{W} - (1-p) \sigma_{B} E_{B} \\ (\alpha + \mu_{B}) I_{B} - q_{I} \pi_{B} I_{W} - p \sigma_{B} E_{B} \\ (\alpha + \mu_{B}) I_{B} M_{W} - p \sigma_{B} E_{B} \\ (\alpha + \mu_{B}) I_{B} M_{W} - p \sigma_{B} E_{W} \\ (\alpha + \mu_{W}) A_{W} - (1-p) \sigma_{W} E_{W} \\ (\gamma_{W} + \mu_{W}) I_{W} - p \sigma_{W} E_{W} \\ (\gamma_{W} + \mu_{W}) I_{W} - \sigma_{B} I_{W} \\ (\mu_{V} + \sigma_{V}) E_{V} \\ \mu_{V} I_{V} - \sigma_{V} E_{V} \end{bmatrix}
$$

 $W$  where  $U = \beta_V b_V (\frac{I_W + \rho_W A_W + \eta(I_B + \rho_B A_B)}{N_W + \eta N_B}) S_V$ .

<span id="page-4-2"></span>Note that the assumptions in [\[19\]](#page-17-7):  $\mathcal{F}_i(0, y) = 0$ ,  $\mathcal{V}_i(0, y) = 0$ ,  $\mathcal{F}_i(x, y) \ge 0$ ,  $\mathcal{V}_i(x, y) \le 0$  when  $x_i = 0$ , and  $\sum_{i=1}^{10}$   $\mathcal{V}_i(x, y)$  ≥ 0 for *i* = 1, ..., 10 hold. We also define:

$$
F = \left[\frac{\partial \mathcal{F}_i}{\partial x_j}(0, y_0)\right], \text{ and } V = \left[\frac{\partial \mathcal{V}_i}{\partial x_j}(0, y_0)\right],
$$
 (2.3)

,

where  $y_0 = (\frac{\pi_B}{\alpha + \mu_B}, 0, \frac{\alpha \pi_B}{\mu_W(\alpha + \mu_B)}, 0, \frac{\pi_V}{\mu_V})$ . This gives



where  $k_1 = \alpha + \sigma_B + \mu_B$ ,  $k_2 = \alpha + \gamma_B + \mu_B$ ,  $k_3 = \alpha + \mu_B$ ,  $k_4 = \sigma_W + \mu_W$ ,  $k_5 = \gamma_W + \mu_W$ ,  $k_6 = \mu_V + \sigma_V$ . The next generation matrix (NGM) [\[19,](#page-17-7) [21\]](#page-17-9) is:

<span id="page-5-0"></span>
$$
FV^{-1} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & A & B \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ E & G & H & 0 & I & J & K & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \qquad (2.4)
$$

with

$$
A = \frac{\sigma_V \eta \beta_B b_V}{(\mu_V + \sigma_V)\mu_V}, B = \frac{\eta \beta_B b_V}{\mu_V}, C = \frac{\sigma_V \beta_W b_V}{(\mu_V + \sigma_V)\mu_V}, D = \frac{\beta_W b_V}{\mu_V},
$$
  
\n
$$
E = \frac{\sigma_B \eta \beta_V b_V S_V^0 [(1 - p)\rho_B + p]}{(\alpha + \sigma_B + \mu_B)(\alpha + \gamma_B + \mu_B)(S_W^0 + \eta S_B^0)},
$$
  
\n
$$
G = \frac{\eta \rho_B \beta_V b_V S_V^0}{(\alpha + \gamma_B + \mu_B)(S_W^0 + \eta S_B^0)}, H = \frac{\eta \beta_V b_V S_V^0}{(\alpha + \gamma_B + \mu_B)(S_W^0 + \eta S_B^0)},
$$
  
\n
$$
I = \frac{\sigma_W \beta_V b_V S_V^0 [(1 - p)\rho_W + p]}{(\sigma_W + \mu_W)(\gamma_W + \mu_W)(S_W^0 + \eta S_B^0)} +
$$
  
\n
$$
\frac{\pi_B \sigma_W \eta \beta_V b_V S_V^0 [q_I p + q_A (1 - p)\rho_B]}{(\sigma_W + \mu_W)(\gamma_W + \mu_W)(\alpha + \mu_B + \gamma_B)(S_W^0 + \eta S_B^0)},
$$
  
\n
$$
J = \frac{\beta_V b_V S_V^0}{(\gamma_W + \mu_W)(S_W^0 + \eta S_B^0)} \left[ \rho_W + \frac{q_A \pi_B \eta \rho_B}{(\alpha + \gamma_B + \mu_B)} \right],
$$
  
\n
$$
K = \frac{\beta_V b_V S_V^0}{(\gamma_W + \mu_W)(S_W^0 + \eta S_B^0)} \left[ 1 + \frac{q_I \pi_B \eta}{(\alpha + \gamma_B + \mu_B)} \right].
$$

The basic reproduction number  $\mathcal{R}_0$ , defined as the expected number of secondary cases produced by an infected individual in a completely susceptible population [\[7,](#page-16-9) [21\]](#page-17-9), is the spectral radius *ρ* of the NGM:

<span id="page-6-0"></span>
$$
\mathcal{R}_0 = \rho (F V^{-1}) = \sqrt{A E + C I},\tag{2.5}
$$

where  $A$  ,  $C$  ,  $E$  , and  $I$  are defined as above.  $\mathcal{R}_0$  in [\(2.5\)](#page-6-0) is actually an eigenvalue of the matrix  $FV^{-1}$  , computed directly using the characteristic equation of [\(2.4\)](#page-5-0).

**Remark 2.** *The basic reproduction number in* [\(2.5\)](#page-6-0) *can be written as*

$$
\mathcal{R}_0 = \sqrt{\mathcal{R}_V(\mathcal{R}_B + \mathcal{R}_W)}\tag{2.6}
$$

.

with 
$$
\mathcal{R}_V = \frac{\beta_V b_V \sigma_V S_V^0}{k_6 \mu_V}
$$
,  $\mathcal{R}_B = \frac{\eta^2 \beta_B b_V \sigma_B [(1-p)\rho_B + p]}{k_1 k_2 (S_W^0 + \eta S_B^0)}$ , and  
\n
$$
\mathcal{R}_W = \frac{\beta_W b_V \sigma_W}{k_2 k_4 k_5 (S_W^0 + \eta S_B^0)} [(1-p)(k_2 \rho_W + \eta \rho_B q_A \pi_B) + p(k_2 + \eta q_I \pi_B)].
$$

See [\[1\]](#page-16-0) for biological interpretations of  $\mathcal{R}_V$ ,  $\mathcal{R}_B$  and  $\mathcal{R}_W$ .

In the theorem below, we make the assumption  $S_V \leq S_V^0$ , which is biologically reasonable.

<span id="page-6-1"></span>**Theorem 1.** If  $\mathcal{R}_0$   $\leq$  1, then the disease-free equilibrium point  $E^0$  [\(2.2\)](#page-4-0) is globally asymptotically stable (GAS) *in*  $\Gamma_1$ *.* 

*Proof.* Following the matrix-theoretic method in [\[19\]](#page-17-7), we set

$$
f(x, y) := (F - V)x - \mathcal{F}(x, y) + \mathcal{V}(x, y),
$$

which gives

$$
f(x,y) = \begin{bmatrix}\n\eta \beta_B b_V I_V(\frac{N_B-S_B}{N_B}) \\
0 \\
0 \\
0 \\
-\eta_R \pi_B R_W \\
\beta_W b_V I_V(\frac{N_W-S_W}{N_W}) \\
0 \\
0 \\
0 \\
0 \\
\beta_V b_V (I_W + \rho_W A_W + \eta (I_B + \rho_B A_B)) (\frac{S_V^0}{S_W^0 + \eta S_B^0} - \frac{S_V}{N_W + \eta N_B}) \\
0\n\end{bmatrix}
$$

Note that we are not able to use Theorem 2.1 in [\[19\]](#page-17-7) directly, as the condition  $f(x, y) \ge 0$  fails (only on the fourth entry). However, a Lyapunov function in the form proposed by the authors can still be constructed. Indeed, define the function

$$
Q = \omega^T V^{-1} x,
$$

where  $\omega$  is a left eigenvector of the matrix  $V^{-1}F$  corresponding to the eigenvalue  $\mathcal{R}_0.$  We are not able to use Theorem 2.2 in [\[19\]](#page-17-7) either, as the matrix *V<sup>−1</sup>F* is not irreducible. However, one can compute a nonnegative left eigenvector  $\omega$  of  $V^{-1}F$ , corresponding to  $\mathcal{R}_0.$  In fact, the eigenvector has the form

$$
\omega^T = [0 \quad a \quad b \quad 0 \quad 0 \quad c \quad d \quad 0 \quad 0 \quad e],
$$

where  $a, b, c, d,$  and  $e$  are positive values. One can readily verify that  $V^{-1}$  is nonnegative, and hence  $Q(x) \geq 0.$ Also observe that  $Q(x) = 0$  at the DFE. We then have

$$
Q^{'} = \omega^{T} V^{-1} x^{'} = \omega^{T} V^{-1} (F - V) x - \omega^{T} V^{-1} f(x, y) = (\mathcal{R}_{0} - 1) \omega^{T} x - \omega^{T} V^{-1} f(x, y).
$$

Even though the condition  $f(x, y) \ge 0$  is not satisfied, one can verify that the product  $\omega^T V^{-1} f(x, y)$  is nonnegative. Thus, the condition  $\mathcal{R}_0 \le 1$  implies  $Q^{'} = (\mathcal{R}_0 - 1)\omega^Tx - \omega^TV^{-1}f(x, y) \le 0$ . Therefore,  $Q$  is in fact a Lyapunov function in *Γ*1.

To prove global stability, first consider  $\mathcal{R}_0< 1$ , and let  $S=\{z\in\mathbb{R}^{15}_+ : Q^{'}=0\}.$  When  $Q^{'}=0,$  we must have that  $(\mathcal{R}_0-1)\omega^Tx=\omega^TV^{-1}f(x,y)$ . Since  $\mathcal{R}_0<1$ , we have  $(\mathcal{R}_0-1)\omega^Tx$  non-positive but  $\omega^TV^{-1}f(x,y)$  non-negative. Thus,  $(\mathcal{R}_0 - 1)\omega^T x = 0$ , and hence  $w^T x = 0$ . This implies that  $A_B = I_B = A_W = I_W = I_V = 0$ , and we get  $S = \{z \in \mathbb{R}^{15}_+ : A_B = I_B = A_W = I_W = I_V = 0\}$ . On this set *S*, we are left with the following system:

<span id="page-7-0"></span>
$$
S'_{B} = \pi_{B} - q_{R}\pi_{B}R_{W} - (\alpha + \mu_{B})S_{B}
$$
\n
$$
E'_{B} = -(\alpha + \sigma_{B} + \mu_{B})E_{B}
$$
\n
$$
I'_{BM} = r q_{R}\pi_{B}R_{W} - (\alpha + \mu_{B})I_{BM}
$$
\n
$$
R'_{B} = (1 - r)q_{R}\pi_{B}R_{W} - (\alpha + \mu_{B})R_{B}
$$
\n
$$
S'_{W} = \alpha S_{B} - \mu_{W}S_{W}
$$
\n
$$
I'_{WW} = \alpha I_{BM}(t) - \mu_{W}I_{WM}
$$
\n
$$
R'_{W} = \alpha R_{B}(t) - \mu_{W}R_{W}
$$
\n
$$
S'_{V} = \pi_{V} - \mu_{V}S_{V}
$$
\n
$$
E'_{V} = -(\mu_{V} + \sigma_{V})E_{V}.
$$
\n(2.7)

Note that  $\omega^T x = 0$  does not imply  $x = 0$  (which would lead to the disease-free system as in the proof of Theorem 2.2 in [\[19\]](#page-17-7) ), because *w* is not strictly positive. However, one can show that system [\(2.7\)](#page-7-0) has the unique equilibrium point - see notation in [\(2.2\)](#page-4-0):

$$
(\,S^0_B, \,E^0_B, \,I^0_{BM}, \,R^0_B, \,S^0_W, \,E^0_W, \,I^0_{WM}, \,R^0_W, \,S^0_V, \,E^0_V),
$$

and that this point is GAS for this system. On this set *S*, we also have

$$
A_B = A_B^0, I_B = I_B^0, A_W = A_W^0, I_W = I_W^0, I_V = I_V^0.
$$

Thus, when  $\mathcal{R}_0 < 1$ , the largest and only invariant set where  $\boldsymbol{Q}^{'}$  = 0 is the DFE.

Now let  $\mathcal{R}_0 = 1$ . Then, from  $Q' = 0$  we get  $\omega^T V^{-1} f(x, y) = 0$ . One can show that this implies  $S_B = N_B$  and  $S_W = N_W$ , and therefore  $E_B = A_B = I_B = I_{BM} = R_B = 0$ , and  $E_W = A_W = I_W = I_{WM} = R_W = 0$ . Thus, on this new set  $\tilde{\mathcal{S}}$  where  $\mathcal{Q}^{'}$  = 0, we are left with the system

<span id="page-7-1"></span>
$$
S'_{B} = \pi_B - \eta \beta_B b_V I_V - (\alpha + \mu_B) S_B
$$
  
\n
$$
S'_{W} = \alpha S_B - \beta_W b_V I_V - \mu_W S_W
$$
  
\n
$$
S'_{V} = \pi_V - \mu_V S_V
$$
  
\n
$$
E'_{V} = -(\mu_V + \sigma_V) E_V
$$
  
\n
$$
I'_{V} = \sigma_V E_V - \mu_V I_V.
$$
\n(2.8)

System [\(2.8\)](#page-7-1) has the unique equilibrium point:  $(S_B^0, S_W^0, S_V^0, E_V^0, I_V^0)$  - with notation as given in [\(2.2\)](#page-4-0) - which is GAS for this system. On this set  $\tilde{S}$ , we also have  $E_B = E_B^0$ ,  $A_B = A_B^0$ ,  $I_B = I_B^0$ ,  $I_{BM} = I_{BM}^0$ ,  $R_B = R_B^0$ , and  $E_W = E_W^0$ ,  $A_W = A_B^0$ ,  $I_W = I_W^0$ ,  $I_{WM} = I_{WM}^0$ ,  $R_W = R_W^0$ .

Thus, when  $\mathcal{R}_0$  = 1, the largest and only invariant set where  $Q^{'}$  = 0 is also the DFE. Using LaSalle's invariance principle, one concludes that the DFE is GAS in  $\Gamma_1$ , when  $\mathcal{R}_0 \leq 1$ .  $\Box$ 

**Remark 3.** *A different proof of Theorem [1](#page-6-1) is presented in [\[1\]](#page-16-0), considering a different feasible set Γ<sub>1</sub>.* 

# **3 Vector, Vertical and Horizontal Transmission**

#### <span id="page-8-0"></span>**3.1 A General Model**

The model proposed by Agusto et al.[\[1\]](#page-16-0) and studied in Section [2](#page-2-1) of this paper, considers vector (vector-human and human-vector) and vertical (mother-child) Zika virus transmission only. On the other hand, most other models (e. g. [\[2,](#page-16-6) [10,](#page-16-3) [17\]](#page-17-8)) consider only vector and horizontal (human sexual) transmission. In this section, we propose a model that includes vector, vertical and horizontal transmission of Zika virus simultaneously, thus bringing together two different approaches to mathematical models of Zika virus dynamics. We show that the system in this general model has both a disease-free and an endemic equilibrium point, we study conditions under which such endemic equilibrium exists, and we provide results on global stability for both equilibria.



**Figure 1:** Adapted from Figure 1 in [\[1\]](#page-16-0). Blue nodes represent non-infectious compartments, while red nodes represent infectious ones. Dot-dashed arrows represent humans and mosquitoes entering the population. Black solid arrows show the progression of the disease through the system. Dotted and dashed lines show the direction of transmission. The dot-dot-dashed arrow shows the maturation of humans with microcephaly. The red arrows represent humans and mosquitoes leaving the population.

We consider the following model:

<span id="page-9-0"></span>
$$
S'_{B} = \mu_{H}(N_{B}-S_{B}) - \left(\frac{q_{E}E_{W} + q_{A}A_{W} + q_{I}I_{W}}{N_{W}}\right)S_{B} - \lambda_{B}(E_{V}, I_{V}, N_{B})S_{B}
$$
\n
$$
E'_{B} = \lambda_{B}(E_{V}, I_{V}, N_{B})S_{B} + \left(\frac{q_{E}E_{W} + q_{A}A_{W} + q_{I}I_{W}}{N_{W}}\right)S_{B} - (\sigma_{B} + \mu_{H})E_{B}
$$
\n
$$
A'_{B} = (1 - c - d)\sigma_{B}E_{B} - (\gamma_{B} + \mu_{H})A_{B}
$$
\n
$$
I'_{B} = \sigma_{B}E_{B} - (\gamma_{B} + \mu_{H})I_{BM}
$$
\n
$$
R'_{B} = \gamma_{B}A_{B} + \gamma_{B}I_{B} - \mu_{H}R_{B}
$$
\n
$$
S'_{W} = \mu_{H}(N_{W} - S_{W}) - \chi\left(\frac{\kappa E_{W} + \psi A_{W} + I_{W}}{N_{W}}\right)S_{W} - \lambda_{W}(E_{V}, I_{V}, N_{W})S_{W}
$$
\n
$$
E'_{W} = \lambda_{W}(E_{V}, I_{V}, N_{W})S_{W} + \chi\left(\frac{\kappa E_{W} + \psi A_{W} + I_{W}}{N_{W}}\right)S_{W} - (\sigma_{W} + \mu_{H})E_{W}
$$
\n
$$
A'_{W} = (1 - p)\sigma_{W}E_{W} - (\gamma_{W} + \mu_{H})A_{W}
$$
\n
$$
I'_{W} = p\sigma_{W}E_{W} - (\gamma_{W} + \mu_{H})I_{W}
$$
\n
$$
I'_{W} = \alpha I_{BM} - \mu_{H}I_{WM}
$$
\n
$$
S'_{V} = \left(\Psi_{V} - \frac{(\Psi_{V} - \mu_{V})N_{V}}{K_{V}}\right)N_{V} - \lambda_{V}(E_{B}, I_{B}, E_{W}, I_{W}, N_{B}, N_{W})S_{V} - \mu_{V}S_{V}
$$
\n
$$
I'_{V} = \sigma_{V}E_{V} - \mu_{V}I_{V},
$$
\n(1)

where  $\lambda_B(E_V, I_V, N_B) = \frac{\eta \beta_B b_V(I_V + \theta E_V)}{N_B}$ ,  $\lambda_W(E_V, I_V, N_W) = \frac{\beta_W b_V(I_V + \theta E_V)}{N_W}$ , and  $\lambda_V(E_B, I_B, E_W, I_W, N_B, N_W) = \beta_V b_V (\frac{\phi E_W + \eta \phi E_B + I_W + \eta I_B}{N_W + \eta N_B}).$ 

The total human population  $N_H = N_B + N_W$  is constant, the parameters *c* and *d* represent fractions of newborns who are infectious or have microcephaly respectively, and the maturation rate  $\alpha$  of individuals with microcephaly is considered to be very small. Following the notation and terminology in [\[2,](#page-16-6) [10,](#page-16-3) [16\]](#page-17-5),  $\mu$ <sup>*H*</sup> is the human birth and death rate, or baseline mortality of humans; the parameter *χ* represents the horizontal (i.e. sexual) transmission rate;  $κ$ ,  $ψ$  and  $θ$  represent transmission probabilities;  $Ψ<sub>V</sub>$  is the natural birth rate, and  $K_V$  is the carrying capacity of mosquitoes. All other parameters are as in Table 1. See [\[1,](#page-16-0) [10\]](#page-16-3) for appropriate values of these parameters.

In this model, we assume babies in the womb or newly born can get the disease (first in the exposed stage) from exposed, asymptomatic and symptomatic mothers, but recovered mothers do not transmit the disease, and we assume that exposed babies progress to either asymptomatic, symptomatic or microcephalic stages. An SEI-type model is still assumed for mosquitoes, but a more general recruitment rate is used, based on a model originally proposed in [\[16\]](#page-17-5), and considered in [\[2\]](#page-16-6).

One can show that the feasible region for [\(3.1\)](#page-9-0) is  $\Gamma_2=\Gamma_H\times\Gamma_V\subset\mathbb{R}^{12}_+\times\mathbb{R}^3_+$ , where

$$
\Gamma_H = \{ (S_B, E_B, A_B, I_B, I_{BM}, R_B, S_W, E_W, A_W, I_W, I_{WM}, R_W) \in \mathbb{R}^{12}_+ : N_H \leq N_H(0) \},
$$

and  $\Gamma_V = \{ (S_V, E_V, I_V) \in \mathbb{R}^3_+ : N_V \leq K_V \}.$ 

The set *Γ*<sup>2</sup> is compact. To show that for initial nonnegative data, all variables stay nonnegative, write system  $(3.1)$  as  $z'_i = F_i(z)$ , with  $i = 1, \ldots, 15$ . If  $z_i = 0$ , then clearly  $F_i(z) \ge 0$ , and hence  $\Gamma_2$  is (positively) invariant.

System [\(3.1\)](#page-9-0) has one disease-free equilibrium point (DFE), still denoted as  $E^0$  =  $(S_B^0, E_B^0, A_B^0, I_B^0, I_{BM}^0, R_B^0, S_W^0, E_W^0, A_W^0, I_W^0, I_{WM}^0, R_W^0, S_V^0, E_V^0, I_V^0)$ , which is given by:

<span id="page-9-1"></span>
$$
E^{0} = (N_{B}, 0, 0, 0, 0, 0, N_{W}, 0, 0, 0, 0, 0, K_{V}, 0, 0).
$$
\n(3.2)

The system also has an endemic equilibrium point, which will be discussed in Section [3.3.](#page-12-0)

### <span id="page-10-0"></span>**3.2 Global Asymptotic Stability Analysis of the DFE**

Using again the matrix-theoretic approach in [\[19\]](#page-17-7), we split the variables into a disease compartment *x* and a nondisease compartment *y*:

$$
x = [E_B, I_B, E_W, A_W, I_W, E_V, I_V]^T
$$
, and  
\n $y = [S_B, A_B, I_{BM}, R_B, S_W, I_{WM}, R_W, S_V]^T$ .

Note that while the splitting of variables in Section [2.2](#page-4-1) was made to match the work in [\[1\]](#page-16-0), here we take a different approach: humans with microcephaly are considered to be in the nondisease compartment *y*, as they can no longer transmit the virus, and asymptomatic humans are considered in the disease compartment only if they are adults, as they can still contribute to the disease through vertical or horizontal transmission [\[1,](#page-16-0) [4,](#page-16-1) [5,](#page-16-8) [9,](#page-16-10) [13\]](#page-17-1).

Following the notation from Section [2.2,](#page-4-1) we then let

$$
\mathcal{F} = \begin{bmatrix} U_1 \\ 0 \\ U_2 \\ 0 \\ 0 \\ U_3 \\ 0 \\ 0 \\ \end{bmatrix}, \quad \text{and} \quad \mathcal{V} = \begin{bmatrix} (\sigma_B + \mu_H) E_B \\ (\gamma_B + \mu_H) I_B - c \sigma_B E_B \\ (\sigma_W + \mu_H) E_W \\ (\sigma_W + \mu_H) A_W - (1-p) \sigma_W E_W \\ (\gamma_W + \mu_H) I_W - p \sigma_W E_W \\ (\mu_V + \sigma_V) E_V \\ \mu_V I_V - \sigma_V E_V \end{bmatrix},
$$

where 
$$
U_1 = \lambda_B(E_V, I_V, N_B)S_B + \left(\frac{q_E E_W + q_A A_W + q_I I_W}{N_W}\right)S_B
$$
,  
\n $U_2 = \lambda_W(E_V, I_V, N_W)S_W + \chi\left(\frac{\kappa E_W + I_W + \psi A_W}{N_W}\right)S_W$ , and  
\n $U_3 = \lambda_V(E_B, I_B, E_W, I_W, N_B, N_W)S_V$ . Using (2.3) as before, we get the NGM:

$$
FV^{-1} = \begin{bmatrix} 0 & 0 & A & B & C & D & E \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & P & G & H & I & J \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ K & L & M & 0 & N & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix},
$$
(3.3)

 $\overline{a}$ 

where

$$
A = \frac{q_E S_B^0}{(\sigma_W + \mu_H) S_W^0} + \frac{\sigma_W (q_A (1 - p) + q_I p) S_B^0}{(\sigma_W + \mu_H) (\gamma_W + \mu_H) S_W^0},
$$
  
\n
$$
B = \frac{q_A S_B^0}{(\gamma_W + \mu_H) S_W^0}, \qquad C = \frac{q_I S_B^0}{(\gamma_W + \mu_H) S_W^0},
$$
  
\n
$$
D = \frac{\theta \eta \beta_B b_V}{(\sigma_V + \mu_V)} + \frac{\sigma_V \eta \beta_B b_V}{\mu_V (\sigma_V + \mu_V)}, \qquad E = \frac{\eta \beta_B b_V}{\mu_V},
$$
  
\n
$$
P = \frac{\chi_W}{(\sigma_W + \mu_H)} + \frac{\chi \sigma_W (p + \psi (1 - p))}{(\sigma_W + \mu_H) (\gamma_W + \mu_H)},
$$
  
\n
$$
G = \frac{\chi \psi}{(\gamma_W + \mu_H)}, \qquad H = \frac{\chi}{(\gamma_W + \mu_H)},
$$
  
\n
$$
I = \frac{\theta \beta_W b_V}{(\sigma_V + \mu_V)} + \frac{\sigma_V \beta_W b_V}{\mu_V (\sigma_V + \mu_V)}, \qquad J = \frac{\beta_W b_V}{\mu_V},
$$
  
\n
$$
K = \frac{\eta \phi \beta_V b_V K_V}{(\sigma_B + \mu_H) (S_W^0 + \eta S_B^0)} + \frac{\sigma_B \eta \beta_V b_V K_V}{(\sigma_B + \mu_H) (\gamma_B + \mu_H) (S_W^0 + \eta S_B^0)},
$$
  
\n
$$
L = \frac{\eta \beta_V b_V K_V}{(\gamma_B + \mu_H) (S_W^0 + \eta S_B^0)},
$$
  
\n
$$
M = \frac{\phi \beta_V b_V K_V}{(\sigma_W + \mu_H) (S_W^0 + \eta S_B^0)} + \frac{\sigma_W p \beta_V b_V K_V}{(\sigma_W + \mu_H) (\gamma_W + \mu_H) (S_W^0 + \eta S_B^0)},
$$
  
\n
$$
N = \frac{\beta_V b_V K_V}{(\gamma_W + \mu_H) (S_W^0 + \eta S_B^0)}.
$$

Unlike the original model [\(2.1\)](#page-2-0), for this general model, the matrix  $V^{-1}F$  is irreducible. Indeed,

$$
V^{-1}F = \begin{bmatrix} 0 & 0 & \mathcal{A}_1 & \mathcal{A}_2 & \mathcal{A}_3 & \mathcal{A}_4 & \mathcal{A}_5 \\ 0 & 0 & \mathcal{A}_6 & \mathcal{A}_7 & \mathcal{A}_8 & \mathcal{A}_9 & \mathcal{A}_{10} \\ 0 & 0 & \mathcal{A}_{11} & \mathcal{A}_{12} & \mathcal{A}_{13} & \mathcal{A}_{14} & \mathcal{A}_{15} \\ 0 & 0 & \mathcal{A}_{16} & \mathcal{A}_{17} & \mathcal{A}_{18} & \mathcal{A}_{19} & \mathcal{A}_{20} \\ 0 & 0 & \mathcal{A}_{21} & \mathcal{A}_{22} & \mathcal{A}_{23} & \mathcal{A}_{24} & \mathcal{A}_{25} \\ \mathcal{A}_{26} & \mathcal{A}_{27} & \mathcal{A}_{28} & 0 & \mathcal{A}_{29} & 0 & 0 \\ \mathcal{A}_{30} & \mathcal{A}_{31} & \mathcal{A}_{32} & 0 & \mathcal{A}_{33} & 0 & 0 \end{bmatrix},
$$

where each  $A_{ij}$  entry denotes a positive value. This property of irreducibility guarantees that  $\mathcal{R}_0$  is a positive eigenvalue of *V* −1*F* (and of *FV*−1 ), and that there exists a *positive* left eigenvector *w* of *V* −1*F* corresponding to  $\mathcal{R}_0$ . All other assumptions  $\mathcal{F}_i(0, y) = 0$ ,  $\mathcal{V}_i(0, y) = 0$ ,  $\mathcal{F}_i(x, y) \ge 0$ ,  $\mathcal{V}_i(x, y) \le 0$  when  $x_i = 0$ , and  $\sum_{i=1}^{7} \mathcal{V}_i(x, y) \ge 0$ 0 for  $i = 1, ..., 7$  in [\[19\]](#page-17-7) are also satisfied.

<span id="page-11-0"></span>**Theorem 2.** If  $\mathcal{R}_0$   $\leq$  1, then the disease-free equilibrium point  $E^0$  [\(3.2\)](#page-9-1) is globally asymptotically stable (GAS) *in*  $Γ_2$ *.* 

*Proof.* As in the proof of Theorem [1,](#page-6-1) this time we have

$$
f(x,y) = \begin{bmatrix} \eta \beta_B b_V (I_V + \theta E_V) \left( \frac{N_B - S_B}{N_B} \right) + (q_E E_W + q_A A_W + q_I I_W) \left( \frac{N_B - S_B}{N_W} \right) \\ 0 \\ \left( \chi (\kappa E_W + I_W + \psi A_W) + \beta_W b_V (I_V + \theta E_V) \right) \left( \frac{N_W - S_W}{N_W} \right) \\ 0 \\ \beta_V b_V (I_W + \eta I_B + \phi E_W + \eta \phi E_B) \left( \frac{K_V - S_V}{N_W + \eta N_B} \right) \\ 0 \end{bmatrix}
$$

,

and therefore the condition  $f(x, y) \ge 0$  holds true (this was not the case for model [\(2.1\)](#page-2-0)). One can readily verify that the conditions  $F$  ≥ 0 and  $V^{-1}$  ≥ 0 are also satisfied for this new model. Then, by a direct application of Theorem 2.1 in [\[19\]](#page-17-7), we get that  $Q = \omega^T V^{-1} x$  is a Lyapunov function.

To prove global stability, first consider  $\mathcal{R}_0 < 1$ . One can verify that the 8-dimensional disease-free system has the unique equilibrium point  $y_0 = (N_B, 0, 0, 0, N_W, 0, 0, K_V)$ , which is GAS, and that  $f(x, y_0) = 0$  in  $\Gamma_2$ . Then, by a direct application of Theorem 2.2 in [\[19\]](#page-17-7), one concludes that if R<sup>0</sup> < 1, the DFE [\(3.2\)](#page-9-1) is GAS in *Γ*2.

Now let  $\mathcal{R}_0 = 1$ . From  $Q' = 0$ , we get  $V^{-1}f(x, y) = 0$ , as  $\omega$  is strictly positive. It is straightforward to show that this implies  $S_B$  =  $N_B$ ,  $S_W$  =  $N_W$ , and  $S_V$  =  $K_V$ , and therefore  $E_B$  =  $A_B$  =  $I_B$  =  $I_{BM}$  =  $R_B$  = 0, and  $E_W$  =  $A_W$  =  $I_W$  =  $I_{WM}$  =  $R_W$  = 0. Thus, when  $Q^{'}$  = 0, we are left with the system

<span id="page-12-1"></span>
$$
E_V' = -(\mu_V + \sigma_V) E_V U_V = \sigma_V E_V - \mu_V I_V,
$$
 (3.4)

which has a unique equilibrium point:  $(E_V^0, I_V^0)$  - with notation as given in [\(3.2\)](#page-9-1) - that is GAS for this system. In addition, we already have  $E_B = E_B^0$ ,  $A_B = A_B^0$ ,  $I_B = I_B^0$ ,  $I_{BM} = I_{BM}^0$ ,  $R_B = R_B^0$ , and  $E_W = E_W^0$ ,  $A_W = A_B^0$ ,  $I_W = E_W^0$  $I_W^0$ ,  $I_{WM}$  =  $I_{WM}^0$ ,  $R_W$  =  $R_W^0$ . Thus, when  $\mathcal{R}_0$  = 1, the largest and only invariant set where  $Q^{'}$  = 0 is also the DFE. Using LaSalle's invariance principle, one concludes that the DFE is also GAS in *Γ*<sub>2</sub>, when  $\mathcal{R}_0 = 1$ .  $\Box$ 

**Existence of an Endemic Equilibrium.** With the terminology and results established in Sections [3.1](#page-8-0) and [3.2](#page-10-0) above, part (2) of Theorem 2.2 in [\[19\]](#page-17-7) guarantees the existence of an endemic equilibrium of system [\(3.1\)](#page-9-0), when  $\mathcal{R}_0 > 1$ . We will denote this endemic equilibrium point as

$$
E^* := (S_B^*, E_B^*, A_B^*, I_B^*, I_{BM}^*, R_B^*, S_W^*, E_W^*, A_W^*, I_W^*, I_{WM}^*, R_W^*, S_V^*, E_V^*, I_V^*).
$$
 (3.5)

In Theorem [2,](#page-11-0) we proved that if  $\mathcal{R}_0 \leq 1$ , then the disease-free equilibrium point (DFE) is globally asymptotically stable in the given feasible region. When this condition is lost; that is, when we instead have  $\mathcal{R}_0 > 1$ , the DFE loses stability, and as noted above, an endemic equilibrium (EE) exists. In Theorem [3](#page-13-0) below, we show that the condition  $\mathcal{R}_0 > 1$  also implies that the EE is globally asymptotically stable.

#### <span id="page-12-0"></span>**3.3 Global Asymptotic Stability Analysis of the EE**

To establish global stability properties of the EE [\(3.5\)](#page-12-1), we will use a graph-theoretic method as presented in [\[19\]](#page-17-7). First, we briefly present some terminology and results about directed graphs and a technique for the construction of a Lyapunov function. For details, the reader is referred to [\[15,](#page-17-10) [19\]](#page-17-7).

A pair (*i*, *j*) is called an arc from vertex *i* to vertex *j*. Given a weighted digraph *Γ*(*A*) with *p* vertices, the *p* × *p* weight matrix *A* is defined with  $a_{ij} > 0$  equal to the weight of arc  $(j, i)$  if it exists, and  $a_{ij} = 0$  otherwise. The Laplacian *L* of *Γ*(*A*) is defined as

$$
l_{ij} = \begin{cases} -a_{ij}, & i \neq j, \\ \sum_{k \neq i} a_{ik}, & i = j. \end{cases}
$$

Let  $c_i$  be the cofactor of  $l_{ii}$ . If  $\Gamma(A)$  is strongly connected, then  $c_i > 0$ , for all  $i = 1, \ldots, p$ . The following combinatorial identities are useful in finding explicit expressions for  $c_i$ :

If  $a_{ij} > 0$  and the out-degree of vertex *j* satisfies  $d^+(j) = 1$ , for some *i*, *j*, then

<span id="page-12-2"></span>
$$
c_i a_{ij} = \sum_{k=1}^{p} c_j a_{jk}.
$$
 (3.6)

If  $a_{ij} > 0$  and the in-degree of vertex *i* satisfies  $d^-(i) = 1$ , for some *i*, *j*, then

<span id="page-12-3"></span>
$$
c_i a_{ij} = \sum_{k=1}^{p} c_k a_{ki}.
$$
 (3.7)

The following theorem provides a graph-theoretic technique to construct a Lyapunov function *Q*.

<span id="page-13-0"></span>**Theorem 3.** [\[15,](#page-17-10) [19\]](#page-17-7) For a given open set  $E \subset \mathbb{R}^m$ , and a function  $f : E \to \mathbb{R}^m$ , consider the system

<span id="page-13-1"></span>
$$
\dot{z} = f(z),\tag{3.8}
$$

#### *and assume that*

*(i)* There exist functions  $Q_i : E \to \mathbb{R}$ ,  $G_{ij} : E \to \mathbb{R}$ , and constants  $a_{ij} \ge 0$  such that

$$
Q'_{i} = Q'_{i}|_{(3,8)} \le \sum_{j=1}^{p} a_{ij}G_{ij}(z)
$$
, with  $z \in E$ ,  $i = 1,..., p$ ,

*(ii) Each directed cycle C of Γ*(*A*) *satises*

$$
\sum_{(s,r)\in S(C)}G_{rs}(z)\leq 0, \quad z\in E,
$$

*where S*(*C*) *denotes the set of all arcs in C.*

*Then, there exist constants*  $c_i \geq 0$ ,  $i = 1, \ldots, p$  (as defined above), such that the function

$$
Q(z) = \sum_{i=1}^p c_i Q_i(z)
$$

satisfies  $Q^{'}|_{(3,8)} \leq 0$ , that is,  $Q(z)$  is a Lyapunov function for [\(3.8\)](#page-13-1).

With these tools at hand, we give a result on global stability of the endemic equilibrium of system [\(3.1\)](#page-9-0) in the interior of the feasible region *Γ*2.

**Theorem 4.** *If*  $\mathcal{R}_0 > 1$ *, then, the EE of system* [\(3.1\)](#page-9-0) *is unique and globally asymptotically stable* (*GAS*) *in*  $int ( \Gamma_2 )$ .

*Proof.* Define the functions:

$$
Q_{1} = S_{B} - S_{B}^{*} - S_{B}^{*} \ln \frac{S_{B}}{S_{B}^{*}} + E_{B} - E_{B}^{*} - E_{B}^{*} \ln \frac{E_{B}}{E_{B}^{*}},
$$
  
\n
$$
Q_{2} = I_{B} - I_{B}^{*} - I_{B}^{*} \ln \frac{I_{B}}{I_{B}^{*}},
$$
  
\n
$$
Q_{3} = S_{W} - S_{W}^{*} - S_{W}^{*} \ln \frac{S_{W}}{S_{W}^{*}} + E_{W} - E_{W}^{*} - E_{W}^{*} \ln \frac{E_{W}}{E_{W}^{*}},
$$
  
\n
$$
Q_{4} = A_{W} - A_{W}^{*} - A_{W}^{*} \ln \frac{A_{W}}{A_{W}^{*}},
$$
  
\n
$$
Q_{5a} = Q_{5b} = Q_{5c} = I_{W} - I_{W}^{*} - I_{W}^{*} \ln \frac{I_{W}}{I_{W}^{*}},
$$
  
\n
$$
Q_{6} = S_{V} - S_{V}^{*} - S_{V}^{*} \ln \frac{S_{V}}{S_{V}^{*}} + E_{V} - E_{V}^{*} - E_{V}^{*} \ln \frac{E_{V}}{E_{V}^{*}},
$$
  
\n
$$
Q_{7} = I_{V} - I_{V}^{*} - I_{V}^{*} \ln \frac{I_{V}}{I_{V}^{*}}.
$$

Using the inequality  $1 - x + \ln x \le 0$ , for  $x > 0$ , differentiation yields:

$$
Q'_{1} \leq q_{A} \frac{A_{W}^{*} S_{B}^{*}}{N_{W}^{*}} \left( \frac{A_{W}}{A_{W}^{*}} - \ln \frac{A_{W}}{A_{W}^{*}} - \frac{E_{B}}{E_{B}^{*}} + \ln \frac{E_{B}}{E_{B}^{*}} \right)
$$

$$
+ q_{I} \frac{I_{W}^{*} S_{B}^{*}}{N_{W}^{*}} \left( \frac{I_{W}}{I_{W}^{*}} - \ln \frac{I_{W}}{I_{W}^{*}} - \frac{E_{B}}{E_{B}^{*}} + \ln \frac{E_{B}}{E_{B}^{*}} \right)
$$

$$
+ q_{E} \frac{E_{W}^{*} S_{B}^{*}}{N_{W}^{*}} \left( \frac{E_{W}}{E_{W}^{*}} - \ln \frac{E_{W}}{E_{W}^{*}} - \frac{E_{B}}{E_{B}^{*}} + \ln \frac{E_{B}}{E_{B}^{*}} \right)
$$

$$
+ \eta \beta_B b_V \frac{I_V^* S_B^*}{N_B^*} \left( \frac{I_V}{I_V^*} - \ln \frac{I_V}{I_V^*} - \frac{E_B}{E_B^*} + \ln \frac{E_B}{E_B^*} \right)
$$
  
\n
$$
+ \eta \beta_B b_V \theta \frac{E_V^* S_B^*}{N_B^*} \left( \frac{E_V}{E_V^*} - \ln \frac{E_V}{E_V^*} - \frac{E_B}{E_B^*} + \ln \frac{E_B}{E_B^*} \right)
$$
  
\n
$$
=: a_{1,4} G_{1,4} + a_{1,5a} G_{1,5a} + a_{1,3} G_{1,3} + a_{1,7} G_{1,7} + a_{1,6} G_{1,6}.
$$
  
\n
$$
Q'_2 \leq C \sigma_B E_B^* \left( \frac{E_B}{E_B^*} - \ln \frac{E_B}{E_B} - \frac{I_B}{I_B^*} + \ln \frac{I_B}{I_B^*} \right) =: a_{2,1} G_{2,1}.
$$
  
\n
$$
Q'_3 \leq \beta_W b_V \frac{I_V^* S_W^*}{N_W^*} \left( \frac{I_V}{I_V^*} - \ln \frac{I_V}{I_V^*} - \frac{E_W}{E_W^*} + \ln \frac{E_W}{E_W^*} \right)
$$
  
\n
$$
+ \beta_W b_V \theta \frac{E_V^* S_W^*}{N_W^*} \left( \frac{E_V}{E_V^*} - \ln \frac{E_V}{E_V} - \frac{E_W}{E_W} + \ln \frac{E_W}{E_W} \right)
$$
  
\n
$$
+ \chi \frac{I_W^* S_W^*}{N_W^*} \left( \frac{I_W}{A_W^*} - \ln \frac{A_W}{A_W^*} - \frac{E_W}{E_W} + \ln \frac{E_W}{E_W} \right)
$$
  
\n
$$
=: a_{3,7} G_{3,7} + a_{3,6} G_{3,6} + a_{3,5b} G_{3,5b} + a_{3,4} G_{3,4}.
$$
  
\n
$$
Q'_4 \leq (1-p) \sigma_W E_W^* \left( \frac{E_W}{E_W^*} - \ln \frac{E_W}{E_W} - \frac{A_W}{A_W^*} + \ln \frac{A
$$

With the constants *aij* above and *A*= [*aij*], we construct the (strongly connected) directed graph *Γ*(*A*) in Figure [2.](#page-15-0) Along each of the cycles on the graph, one can verify that  $\sum G_{ij} = 0$ ; for instance,  $G_{6,1} + G_{3,6} + G_{5a,3} + G_{6a,3} + G_{6a,$  $G_{1,5a} = 0$ ,  $G_{2,1} + G_{6,2} + G_{1,6} = 0$ , and so on. Then, by Theorem 3.5 in [\[19\]](#page-17-7), there exist constants  $c_i$  such that  $Q = \sum_i c_i Q_i$  is a Lyapunov function for [\(3.1\)](#page-9-0). To find the constants  $c_i$ , we use the combinatorial identities [\(3.6\)](#page-12-2) or [\(3.7\)](#page-12-3); for instance, since  $d^-(4) = 1$ , we use (3.7) to get  $c_4a_{4,3} = c_1a_{1,4} + c_3a_{3,4}$ . Similarly, we find that  $c_2a_{2,1} = c_6a_{6,2}$ ,  $c_4a_{4,3} = c_1a_{1,4} + c_3a_{3,4}$ ,  $c_{5a}a_{5a,3} = c_1a_{1,5a}$ ,  $c_{5b}a_{5b,3} = c_3a_{3,5b}$ ,  $c_{5c}a_{5c,3} = c_3a_{6,5c}$ , and  $c_7a_{7,6} = c_1a_{1,7} + c_3a_{3,7}$ . With  $c_1 = c_3 = c_6 = 1$ , we get  $c_2 = \frac{\beta_V b_V \eta I_B^* S_V^*}{\sigma \sigma F^* \left(\frac{\mathbf{V}^*}{\sigma \rho} \right)}$  $\frac{\beta_V b_V \eta I_B^* S_V^*}{c\sigma_B E_B^*(N_W^* + \eta N_B^*)}, \quad c_4 = \frac{q_A A_W^* S_B^* + \chi \psi A_W^* S_W^*}{N_W^*(1 - p)\sigma_W E_W^*}$ ,

<span id="page-15-0"></span>

Figure 2: Digraph for model [\(3.1\)](#page-9-0).

$$
\begin{aligned} c_{5a}&=\frac{q_I I_W^{\star} S_B^{\star}}{p\sigma_W E_W^{\star} N_W^{\star}},\quad c_{5b}=\frac{\chi I_W^{\star} S_W^{\star}}{p\sigma_W E_W^{\star} N_W^{\star}},\quad c_{5c}=\frac{\beta_V b_V I_W^{\star} S_V^{\star}}{p\sigma_W E_W^{\star} (N_W^{\star}+\eta N_B^{\star})},\\ c_7&=\frac{\eta \beta_B b_V I_V^{\star} S_B^{\star} N_W^{\star}+\beta_W b_V I_V^{\star} S_W^{\star} N_B^{\star}}{\sigma_V E_V^{\star} N_B^{\star} N_W^{\star}}. \end{aligned}
$$

Therefore, with the functions  $Q_i$  and constants  $c_i$  given above,

$$
Q = c_1 Q_1 + c_2 Q_2 + c_3 Q_3 + c_4 Q_4 + (c_{5a} + c_{5b} + c_{5c}) Q_{5c} + c_6 Q_6 + c_7 Q_7
$$

is a Lyapunov function for [\(3.1\)](#page-9-0). We also have:

$$
Q' = \left(\frac{S_B - S_B^*}{S_B} S_B' + \frac{E_B - E_B^*}{E_B} E_B'\right) + c_2 \left(\frac{I_B - I_B^*}{I_B} I_B'\right) + \left(\frac{S_W - S_W^*}{S_W} S_W' + \frac{E_W - E_W^*}{E_W} E_W'\right) + c_4 \left(\frac{A_W - A_W^*}{A_W} A_W'\right) + (c_{5a} + c_{5b} + c_{5c}) \left(\frac{I_W - I_W^*}{I_W} I_W'\right) + \left(\frac{S_V - S_V^*}{S_V} S_V' + \frac{E_V - E_V^*}{E_V} E_V'\right) + c_7 \left(\frac{I_V - I_V^*}{I_V} I_V'\right).
$$

<span id="page-15-1"></span>Now we consider the set  $S = \{x \in \mathbb{R}_+^{15} : Q' = 0\}$ . When  $Q' = 0$ , one can readily verify that  $S_B = S_B^*$ ,  $E_B = E_B^*$ ,  $I_B = I_B^*$ ,  $S_W = S_W^*$ ,  $E_W = E_W^*$ ,  $A_W = A_W^*$ ,  $I_W = I_W^*$ ,  $S_V = S_V^*$ ,  $E_V = E_V^*$ , and  $I_V = I_V^*$ , and we are left with the system:

$$
A'_{B} = (1 - c - d)\sigma_{B}E'_{B} - (\gamma_{B} + \mu_{H})A_{B}
$$
  
\n
$$
I'_{BM} = d\sigma_{B}E'_{B} - (\alpha + \mu_{H})I_{BM}
$$
  
\n
$$
R'_{B} = \gamma_{B}A_{B} + \gamma_{B}I'_{B} - \mu_{H}R_{B}
$$
  
\n
$$
I'_{WM} = \alpha I_{BM} - \mu_{H}I_{WM}
$$
  
\n
$$
R'_{W} = \gamma_{W}A'_{W} + \gamma_{W}I'_{W} - \mu_{H}R_{W}.
$$
  
\n(3.9)

One can show that system [\(3.9\)](#page-15-1) has a unique equilibrium point - see notation in [\(3.5\)](#page-12-1):  $(A_B^*, I_{BM}^*, R_B^*, I_{WM}^*, R_W^*)$ , and that this point is GAS for this system. Therefore, the largest and only invariant set in *S* is the endemic equilibrium, *E* \* . Using LaSalle's Invariance Principle, we conclude that the endemic equilibrium  $E^*$  is GAS in  $\text{int}( \mathcal{F}_2)$ , and thus unique.  $\Box$ 

# **4 Conclusions and Final Remarks**

In this article, two distinct approaches to modeling the dynamics of Zika virus have been combined into a general model. While previous work on the first approach is focused on vector and horizontal transmission [\[2,](#page-16-6) [10,](#page-16-3) [14,](#page-17-2) [17\]](#page-17-8), a recent work [\[1\]](#page-16-0) included vector and vertical (but not horizontal) transmission of Zika virus. In this work, the model proposed in [\[1\]](#page-16-0) was revisited, and a global stability result of the corresponding DFE was established, under fewer restrictions. The main contribution of this work is to propose a general model of Zika virus dynamics that simultaneously includes vector, horizontal and vertical transmission, and to establish global stability results on both the DFE and the EE of this new system. These results on global stability have been established using matrix-theoretic and graph-theoretic techniques introduced in [\[15,](#page-17-10) [19\]](#page-17-7), which allow the construction of appropriate Lyapunov functions. It is important to note that even though the main two theorems in [\[19\]](#page-17-7) cannot be directly applied for the model proposed in [\[1\]](#page-16-0), global stability of the DFE can still be obtained using the same matrix-theoretic technique.

While it is crucial to have more data to get a better biological understanding of Zika virus and to construct more accurate mathematical models, there are some possible avenues of improvement in the modeling of this mosquito-borne disease. A more accurate modeling of vertical transmission is needed, including the one through breast milk [\[3,](#page-16-7) [5\]](#page-16-8), and how the stage of the infection of the mother determines the possible stages of infection of the newborn. A better understanding and more accurate mathematical modeling of the dynamics of humans with microcephaly due to Zika infection is also needed. Some other generalizations of these models would be worth investigating, including the study of human connectivity between communities, as in [\[6,](#page-16-4) [12,](#page-17-4) [18\]](#page-17-6), and a consideration of the so-called "critical community size", below which the disease would probably die out [\[14\]](#page-17-2). Some specific heterogeneities in humans such as gender, would be worth studying. While the introduction of these new features into the current models could potentially cause losing some mathematical analytical tractability, it should bring richer dynamics, including the possible existence of some bifurcation phenomena, and a better understanding of the disease.

**Acknowledgement:** This work was supported in part by NSF Grant DMS 1559911

# **References**

- <span id="page-16-0"></span>[1] F. B. Agusto, S. Bewick, W. F. Fagan, *Mathematical model of Zika virus with vertical transmission*, Infect. Disease Model., **2** (2017), 244–267.
- <span id="page-16-6"></span>[2] S. Bates, H. Hutson, J. Rebaza, *Global Stability of Zika Virus Dynamics*, J. Differ Equ Dyn Syst (2017). https://doi.org/10.1007/s12591-017-0396-0.
- <span id="page-16-7"></span>[3] G. Blohm, J. Lednicky, M. Marquez, S. White, J. Loeb et al. *Evidence for mother-to-child transmission of Zika virus through breast milk*, Clinical Infectious Diseases **66** (2018), 1120–1221.
- <span id="page-16-1"></span>[4] V. M. Cao-Lormeau, A. Blake, S. Mons, S. Lastere, C. Roche et al., *Guillain-Barre syndrome outbreak associated with Zika virus infection in French Polynesia*, The Lancet, **387** (2016), 1531–1539.
- <span id="page-16-8"></span>[5] M. Cavalcantia, M. Cabral-Castro, J. Goncalves, L. Santana, E. Scarlatelli, J. Peralta, *Zika virus shedding in human milk during lactation: an unlikely source of infection?*, Int. J. Infectious Diseases **57** (2017), 70–72.
- <span id="page-16-4"></span>[6] C. Cross, A. Edwards, D. Mercadante, J. Rebaza, *Dynamics of a networked connectivity model of epidemics*, Discr. & Cont. Dyn. Syst., Series B, **21** (2016), 3379–3390.
- <span id="page-16-9"></span>[7] O. Diekmann, J. A. Heesterbeek, J. A. Metz, *On the definition and the computation of the basic reproduction number ration*  $R_0$ *in models for infectious diseases in heterogeneous populations*, J. Math. Biol. **28** (1990), 365-382.
- <span id="page-16-2"></span>[8] M. R. Duffy et al. *Zika virus outbreak on Yap Island, Federated States of Micronesia. New Engl. J. Med. 360 (2009), 2536-2543*
- <span id="page-16-10"></span>[9] T. Freour, S. Mirallié, B. Hubert, C. Splingart, P. Barriere, M. Maquart, I. Leparc-Goffart, *Sexual transmission of Zika virus in an entirely asymptomatic couple returning from a Zika epidemic area*, Eurosurv. **21** (2016), https://doi.org/10.2807/1560- 7917.ES.2016.21.23.30254
- <span id="page-16-3"></span>[10] D. Gao, Y. Lou, D. He, T. Porco, Y. Kuang, G. Chowell, S. Ruan, *Prevention and control of Zika as a mosquito-borne and sexually transmitted disease: A mathematical modeling analysis*, Scientific Reports, 6 28070 (2016).
- <span id="page-16-5"></span>[11] S. Garba, A. Gumel, M. Bakar, *Backward bifurcations in dengue transmission dynamics*, Math. Biosc. **215** (2008), 11-25.
- <span id="page-17-4"></span><span id="page-17-0"></span>[12] M. Gatto, L. Mari, E. Bertuzzo, R. Casagrandi, L. Righetto, I. Rodriguez-Iturbe, A. Rinaldo, *Generalized reproduction numbers and the prediction of patterns in waterborne disease*, Proceed. Nat. Acad. of Scienc. **109** (2012), 1–6.
- <span id="page-17-1"></span>[13] B. Hughes, K. Addanki, A. Sriskanda, E. McLean, O. Bagasra, *Infectivity of Immature Neurons to Zika Virus: A Link to Congenital Zika Syndrome*, EBioMedicine **10** (2016), 65–70.
- <span id="page-17-2"></span>[14] A. Kucharski, S. Funk, R. Eggo, H. P. Mallet, W. Edmunds, A. Nilles, *Transmission dynamics of Zika Virus in island populations: A modelling analysis of the 2013-14 French Polynesia outbreak*, PLOS Neglected Tropical Diseases (2016), http://dx.doi.org/10.1371/journal.pntd.0004726
- <span id="page-17-10"></span>[15] M. Y. Li, Z. Shuai, *Global stability problems for coupled systems of differential equation on networks*, J. Differential Equations **248** (2010), 1–20.
- <span id="page-17-5"></span>[16] C. Manore, K. Hickmann, S. Xu, H. Wearing, J. Hyman *Comparing dengue and chikungunya emergence and endemic transmission in A. Aegypti and A. Albopictus*, J. Theor. Biology **356** (2014), 174–191.
- <span id="page-17-8"></span>[17] V. Moreno, B. Espinoza, D. Bicharra, S. Holecheck, C. Castillo-Chavez, *Role of short-term dispersal on the dynamics of Zika virus*, Infect. Disease Modeling **2**(2017), 21–34.
- <span id="page-17-6"></span>[18] J. Rebaza, *Global stability of a networked connectivity model of disease epidemics*, Dyn. Cont. Discr. &. Impuls. Syst. Series B, **23** (2016), 239–250.
- <span id="page-17-7"></span>[19] Z. Shuai, P. Van Den Driessche, *Global stability of infectious disease models using Lyapunov functions*, SIAM J. Appl. Math. **73** (2013): 1513-1532.
- <span id="page-17-3"></span>[20] Y. Shi, S. Li, Q. Wu, L. Sun et al. *Vertical transmission of the Zika virus causes neurological disorders in mouse offspring*, Scientific Reports, 8 (2018) 1-14.
- <span id="page-17-9"></span>[21] P. Van den Driessche, J. Watmough, *Reproduction numbers and sub-threshold endemic equilibria for compartmental models of disease transmission*, Math. Biosci. **180** (2002), 29-48.