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Evaluating Particulate Matter 2.5 in the Yangtze River Delta

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EVALUATING PARTICULATE MATTER 2.5 IN THE YANGTZE RIVER DELTA

A Master's Thesis

Presented to

The Graduate College of

Missouri State University

In Partial Fulfillment

Of the Requirements for the Degree

Master of Science, Geospatial Sciences

By

Muhammad Abdullah

August 2020

EVALUATING PARTICULATE MATTER 2.5 IN THE YANGTZE RIVER DELTA

Geology, Geography, and Planning

Missouri State University, August 2020

Master of Science

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ABSTRACT

Particulate Matter 2.5 (PM_{2.5}) is a growing concern in industrialized countries. In China, high concentrations of PM_{2.5} are causing devastating health and environmental effects for the people living there. Coal-burning for domestic and industrial purposes is the main culprit for decreasing air quality in China. The focus of this paper is on the Yangtze River Delta (YRD) located on the eastern coast of China. Hourly PM_{2.5} readings from March 2015 to June 2016 were obtained from 125 air quality monitoring stations (AQMS) in 23 cities in the YRD. In this study, PM_{2.5} readings were examined using TIBCO Spotfire and ArcGISPro. Space-time pattern analysis and inverse distance weighting (IDW) were adopted in observing space-time trends and dispersion of PM_{2.5} in the region. Inverse distance weighting (IDW) interpolation represents the distribution of PM_{2.5} in YRD, with peak values greater than 61 ug/m³ observed across the study area in December 2015, January 2016 and February 2016. Zhejiang had lower interpolated values in comparison with Jiangsu and Shanghai. Based on the space-time pattern analysis, PM_{2.5} demonstrated a downtrend along the Yangtze River during the study period. Furthermore, a relationship between global gridded weather type classification (GWTC-2) weather types was examined with declining PM_{2.5} concentrations. The results show Humid (H), Humid Warm (HW), and Warm (W) weather types are associated with declining levels of PM_{2.5} in Jiangsu and Shanghai in March, April, May, June 2015. While in March, April, May, June 2016, Warm (W), Humid (H), and Cold Front Passage (CFP) are associated with declining levels of PM_{2.5} in Zhejiang and only Warm (W) in Jiangsu.

KEYWORDS: Particulate Matter 2.5, PM_{2.5}, China, Yangtze River Delta, IDW, GWTC-2, space-time pattern analysis, GIS

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In the interest of academic freedom and the principle of free speech, approval of this thesis indicates the format is acceptable and meets the academic criteria for the discipline as determined by the faculty that constitute the thesis committee. The content and views expressed in this thesis are those of the student-scholar and are not endorsed by Missouri State University, its Graduate College, or its employees.

ACKNOWLEDGEMENTS

I wish to express my deepest gratitude to everyone who gave me support throughout this research project. Firstly, I would like to thank my committee chair, Dr. Qiu, who not only helped me excel in my application of innovative GIS methods through this research but also provided me with the opportunity to teach our future leaders the everyday importance of GIS.

I would like to thank Dr. Perkins and Dr. Meyer, who collectively, enhanced my application of sustainability in my research and everyday life. Furthermore, I would like to especially thank Dr. Mario Daoust for motivating me to pursue a Master's of Science at our prestigious institution. I would also like to thank my fellow peers from our department, especially Jordan Vega and Charlie Hoffman, who supported me over the last two years.

Finally, I would like to thank my parents for the unconditional love and support they gave me over the last six years of my life. Accomplishing this feat would be impossible if it was not for Dr. Abdur Rehman Jami and Shazia Abdur Rehman. Thank you for everything.

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INTRODUCTION

Since the Industrial Revolution, countries such as China, the United States of America (USA), and Russia (formerly known as USSR) have gained great economic wealth as a result of their highly industrialized economies. In 2018, IMF reported that China's economy generated \$25.3 trillion, making it the world's largest economy (CRS, 2019). China's reliance on its coal reserves is a key factor driving its economic success. According to a report published in 2013 by Mining-Technology, China's coal reserves in 2012 stood at 115 billion tons (Bt) trailing the USA and Russia at 237 Bt and 157 Bt, respectively. Furthermore, China is the biggest coal importer in the world as it imported 289 million tons (Mt) of coal that year (Mining-Technology, 2014). China consumes more coal than all the world's countries combined, resulting in reduced air quality (China Power Project, 2016). Figure 1 displays China's growing coal consumption from 1965 to 2015.

Acute levels of air pollution have become a cause for significant concern in industrialized and urban settings of developing nations (Nagpure, Gurjar, & Martel, 2014; Shu et al., 2017; Xing, Xu, Shi, & Lian, 2016). The Ambient Air Quality Standards that are currently effective in China, were released by the Ministry of Environmental Protection on February 29, 2012 (LOC, 2018). The standards set mandatory limits for the primary pollutants – sulfur dioxide (SO₂), nitrogen dioxide (NO₂), carbon monoxide (CO), ozone (O₃), particulate matter 10 (PM₁₀), and particulate matter 2.5 (PM_{2.5}) – and took effect nationwide on January 1, 2016. The standards set two classes of limit values:

- Class I: the limit set at 35 µg/m³ daily mean per city, apply to regions needing special protection such as nature reserves and natural scenic areas.
- Class II: limit set 75 µg/m³ daily mean per city, apply to all other areas including residential, mixed-use, industrial, and rural areas.

Identifying how airborne particulate matter as it relates to the health of the population is complex. Earlier studies (Pope III et al., 2002; Rahman, & MacNee, 1996; Schwartz, 2000) determined that PM levels contribute to significant human health concerns and environmental degradation, while more recent studies have looked at developing unique techniques in dealing with this issue (Brook et al., 2010; Cesaroni et al., 2014; Hussey et al., 2017; Krewski et al., 2009; Lim et al., 2012; Mohapatra & Biswal, 2014; Nawrot, Perez, Künzli, Munters, & Nemery 2011; Xing et al., 2016).

The present research evaluates PM_{2.5} concentrations using hourly PM_{2.5} readings monitored by 125 air quality monitoring stations (AQMS) in the Yangtze River Delta (YRD). Data were collected from March 2015 to June 2016 in 23 cities within the YRD. The readings were spatially analyzed using inverse distance weighted (IDW) interpolation and space-time pattern mining tools in ArcGIS Pro. The relationship of the global gridded weather typing classification (GWTC-2) (Lee, 2015b) and its effect on PM_{2.5} was then explored. All of these provide an understanding of how widespread the issue of PM_{2.5} was in the YRD, which can be used to help the local governments reduce air pollution.

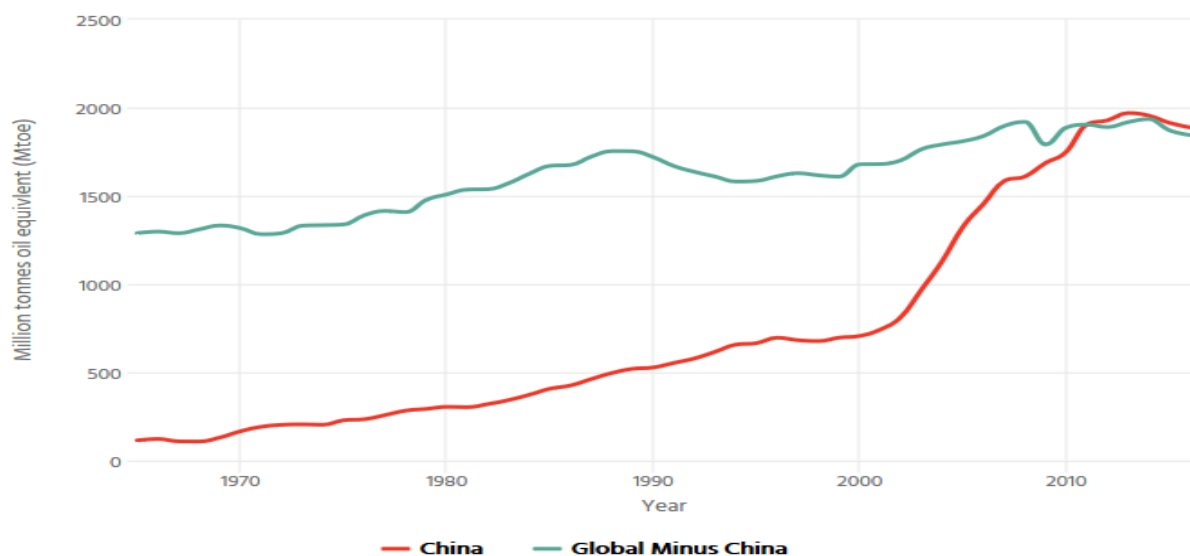


Figure 1: China vs. global coal consumption (China Power Project, 2016)

LITERATURE REVIEW

Particulate Matter (PM)

Particulate matter (PM) is a widespread pollutant made of all solid and liquid particles suspended in air -- many of which are hazardous to human health (Arita & Costa, 2011). This complex mixture includes both organic and inorganic particles, such as dust, pollen, soot, smoke, and liquid droplets. Common chemical components of PM include sulfates, nitrates, ammonium, and other inorganic ions such as ions of sodium, potassium, calcium, magnesium, and chloride, organic, and elemental carbon, crustal material, particle-bound water, metals (including cadmium, copper, nickel, vanadium, and zinc), and polycyclic aromatic hydrocarbons (PAH) (WHO, 2013). Furthermore, biological components such as allergens and microbial compounds are found in PM (Kalisa et al., 2019). PM varies significantly in size, composition, and origin. Commonly used indicators describing PM that are relevant to health, refer to the mass concentration of particles with a diameter fewer than 10 micrometers as coarse particulate matter or PM₁₀ and particles with a diameter of fewer than 2.5 micrometers as fine particulate matter or PM_{2.5}.

The particles in the air are either directly emitted or indirectly formed. Primary PM and gaseous predecessors such as oxides of nitrogen, ammonia, and non-methane volatile organic compounds (secondary particles) have both anthropogenic and natural sources. Anthropogenic sources include combustion for energy production in households and industrial activities. This combustion for energy includes construction, ceramic production, smelting, manufacture of cement, agriculture, and mining, which is particularly a concern in China. Secondary particles found in PM_{2.5} are formed through chemical reactions of gaseous pollutants, e.g. the chemical

transformation of nitrogen oxides (from traffic and industrial processes) and the combustion of sulfur-containing fuels. Furthermore, long-range transport of dust is also an element of particulate matter (William, M.H., & William R.B., 2004) . Figure 2 shows acute levels of smog covering eastern China during the winter months in 2013. Fine particles or particulate matter 2.5 ($PM_{2.5}$) tend to stay in the atmosphere longer than the coarse particles, or particulate matter 10 (PM_{10}). This increases the chance of humans and animals inhaling them into their bodies and thus causing devastating health effects (WHO, 2003). To remedy concerns about PM emissions, electrostatic precipitators are used in many Chinese coal-fired power plants; however, they are generally only effective for PM_{10} , as most of them do not have a high collective efficiency of $PM_{2.5}$ (Pui, Chen, & Zuo, 2014).

According to the World Health Organization (WHO), less than 1% of the 500 largest cities in China met the air quality guideline in 2013 (10 ug/m^3 for annual mean and 25 ug/m^3 for a 24-hour mean). Seven of these cities are ranked among the ten most polluted cities in the world (Zhang & Cao, 2015). Figure 3 shows the study conducted by Zhang and Cao in 2015, where they averaged seasonal fine particulate matter concentrations of 190 cities in China. The annual average concentration of $PM_{2.5}$ was $57 \pm 18\text{ ug/m}^3$, exceeding the new National Ambient Air Quality Standard (NAAQS) (35 ug/m^3) of China and WHO air quality guidelines (10 ug/m^3). The findings show that the winter season had the highest average concentration of $PM_{2.5}$ which was associated with intensified anthropogenic emissions from fossil fuel combustion and biomass burning. In addition, unfavorable meteorological conditions, such as temperature inversions, also played a role in pollution dispersion (Zhang & Cao, 2015). A study conducted in 2018 by Id et al. provides further insight into the sources of $PM_{2.5}$ emissions in the Yangtze River Delta (YRD). The authors concluded that $PM_{2.5}$ sources were both local and regional in nature as

64% of emissions were from local sources, and 36% of emissions were from circumjacent regions. Furthermore, corresponding to modeling scenarios where all anthropogenic emissions were proportionally reduced, the authors' results demonstrated a nearly linear correlation between anthropogenic emissions reduction and the PM_{2.5} concentration decrease: a 10% reduction in anthropogenic emissions resulted in a 10% reduction in PM_{2.5} concentration. A 20%, 30%, 40%, or 50% reduction in anthropogenic activities showed a corresponding PM_{2.5} concentration reduction by 19%, 28%, 37%, or 46% respectively.

Harmful Effects of PM_{2.5}

Health Issues. Short-term and long-term exposure to PM_{2.5} is harmful to the human body. Several studies have linked particle pollution exposure to a variety of health problems. This includes premature death in people with heart or lung diseases, non-fatal heart attacks, aggravated asthma, decreased lung function, and increased respiratory symptoms (EPA, 2016). It is estimated that approximately 3% of cardiopulmonary and 5% of lung cancer deaths are attributable to PM globally (WHO, 2009). Daily mortality is estimated to increase by 0.2–0.6% per 10 µg/m³ of PM₁₀ (Samoli et al., 2008). Long-term exposure to PM_{2.5}, a higher risk factor in comparison to PM₁₀, is associated with an increase in the long-term risk of cardiopulmonary mortality by 6–13% per 10 µg/m³ of PM_{2.5} (Krewski et al., 2009). This is particularly worse for individuals with pre-existing lung and heart conditions, children, and elderly populations (Brook et al., 2010). PM_{2.5} can penetrate deep into the lungs, irritating, and corroding the alveolar wall leading to decreasing lung function (Xing et al., 2016). According to the Global Burden of Disease (GBD) assessment, long-term exposure to PM_{2.5} contributes to 3.2 million deaths globally each year, ranking it as the sixth-largest contributor to the GBD (Lim et al., 2012). Fine

particulate matter (PM_{2.5}) causes vascular inflammation and atherosclerosis as PM_{2.5} leads to high depositions of plaque in arteries, solidifying the arteries and reducing elasticity, leading to heart attacks and other cardiovascular problems (Pope III et al., 2002). In the results of the European Study of Cohorts for Air Pollution Effects (ESCAPE), 11 groups totaling 100,166 participants were followed for an average of 11.5 years and showed a 13% increased risk of heart attacks linked to an increase in estimated exposure of PM_{2.5} by 5 µg/m³ (Cesaroni et al., 2014). PM not only affects human cells and tissues but also impacts bacteria that cause disease in humans (Hussey et al., 2017). Hussey et al.'s study (2017) concluded that biofilm formation, antibiotic tolerance, and colonization of both *Staphylococcus aureus* and *Streptococcus pneumonia* bacteria was altered by PM exposure.

Exposure to fine particulate matter (PM_{2.5}) during pregnancy is associated with high blood pressure in children (Zhang et al., 2018) as well as other physiological problems such as inflammation, oxidative stress, endocrine disruption, and impaired oxygen transport access to the placenta (Erickson & Arbour, 2014). All of these are mechanisms that can increase the risk of low birth weight (Lee et al., 2012). Toxicological and epidemiologic evidence suggests that a strong relationship exists between long-term PM_{2.5} exposure and cardiovascular effects (EPA, 2009a). According to Li et al. (2017), an estimated 4,172 non-accidental deaths in Beijing alone in 2015 are attributed to long-term PM_{2.5} exposure. China lacks strict ambient air control policies and these statistics are alarming, considering the population of the country.

Climatic Effects. Particulate matter (PM) contributes to cloud formation, playing a role in the greenhouse effect and climate change (Mohapatra & Biswal, 2014). The amount of solar radiation and outgoing terrestrial longwave radiation is affected by atmospheric aerosols (Ayash, Gong, & Jia, 2009). Atmospheric aerosols control Earth's climate by influencing the radiation

budget at regional and global scales (Krishna et al., 2018). They directly influence the climate by absorbing and scattering the incoming solar radiation (Charlson et al., 1992). Atmospheric aerosols also influence climate indirectly by altering the cloud microphysics (Twomey, 1974). The aerosol-climate effects are considered the largest source of uncertainty in future climate predictions (Boucher et al., 2013).

Environmental Effects. Depending on the chemical constituents of the particulate matter, PM can result in the acidification of lakes and streams, alter the nutrient balance in coastal waters and large river basins, deplete the nutrients in the soil, damage sensitive forests and farm crops, and negatively affect the diversity of ecosystems (EPA, 2019). Acid rain has a damaging effect on infrastructure by accelerating the weathering of buildings and outdoor sculptures and statues (Nguyen, 2018). Furthermore, PM_{2.5} is responsible for the smog that can be seen in both urban and rural regions (Jin, Luo, Fu, & Li, 2017). Smog is characterized by high PM_{2.5} levels and reduced visibility and has been reported in China, especially in developed and high city clusters such as the YRD (Zhang & Cao, 2015).

Regulatory Policies in China

Since the initial passage of the framework Environmental Protection Law in 1979, China has enacted many laws, regulations, and standards addressing environmental protection. Executing the environmental protection laws efficiently has been difficult, however, it has become increasingly robust over the past few decades since severe air pollution has caused colossal health and social costs. The social costs of air pollution extend far beyond health, including climate, water, renewable energy, and agriculture (Seddon, Contreras, & Elliot, 2019). The primary law dealing with air pollution, Air Pollution Prevention and Control Law, provides

comprehensive measures on air pollution prevention and control (LOC, 2018). The government has recognized that air pollution is severe and that the pressure to control pollution is expected to increase due to growing energy consumption resulting from industrialization and urbanization of the country (CAAC, 2013). Air pollution has been addressed in a series of national policies, including the national five-year plan (2016 - 2020) for economic and social development which set clean air-targets for the country with corresponding time limits (LOC, 2018). The State Council of China issued the Air Pollution Prevention and Control Action Plan in September 2013. The Action Plan guides national efforts to control air pollution in the present and near future by setting quantitative targets for improving the air quality of the whole country and of key regions within specified time limits -- this includes heavily polluted regions such as the Yangtze River Delta, where PM_{2.5} concentrations must fall by 20% by 2017 (LOC, 2018).

According to the Environmental Protection Law and the Air Law, the environmental protection agency under the State Council is tasked with formulating national environmental quality standards, including air quality standards. Provincial governments may establish local standards on items not covered in the national standards and set stricter limits on items covered by national standards. Regions that have not met the national standards must formulate an attainment plan showing how they will meet the standards by a specific time. Although this demonstrates that China is heading in a direction tackling the issue of air pollution, PM_{2.5} concentrations are still a concern. According to a report submitted by China's top legislature, Li Ganjie, the country's Ecology, and Environment Minister, 256 out of 365 cities nationwide exceeded the national secondary standard (35 ug/m³), accounting for 70% of the total number of cities (Greenpeace China, 2018).

Meteorological Conditions and PM_{2.5}

Meteorological conditions greatly influence PM_{2.5} pollution. Regions with high PM_{2.5} levels in China had unfavorable meteorological conditions, such as low wind speed, high humidity, and low rainfall (Xu et al., 2018). Furthermore, Xu et al. (2018) established a relationship between PM_{2.5} and meteorological factors from January 2016 to January 2017 among key regions of China of Beijing-Tanjin-Hebei (JJJ), Pearl Delta (PRD), Chengdu-Chongqing (CYB), and Yangtze River Delta (YRD) regions. The study concluded that the meteorological conditions in JJJ, PRD, and CYB regions contributed to PM_{2.5} worsening by 29.7%, 42.6%, and 7.9% respectively. However, in YRD the meteorological conditions contributed to better air quality, improving by approximately 8.5% from January 2016 to January 2017. Increased wind frequency and more ocean currents contributed to low PM_{2.5} concentrations in YRD.

The global gridded weather typing classification (GWTC-2) system is a geographic and seasonal-relative classification of multivariate surface weather conditions, i.e., weather types. The GWTC-2 system is based upon the gridded weather typing classification (GWTC) system built for North America. It uses six weather variables: temperature, dew point, sea-level pressure, cloudiness, wind speed, and wind direction (Mesinger, Dimego, Oceanic, & Mitchell, 2006). Table 1 represents the 11 weather types, with 9 being “core weather types” relating to different temperature and humidity conditions. The two transitional weather types identify the passage of traditional cold fronts and warm fronts (Lee, 2015b). The idea behind the GWTC-2 classification system is based on a “feels-like” classification. For example, say in Dubai, U.A.E in mid-June the daytime high temperature is 61°F (16°C). People in Dubai would consider that to be quite cold for the time of the year. However, someone living in the state of Michigan, U.S.A, would

describe the same temperature as warm. Similarly, if the same scenario were to happen in mid-December in Dubai, the locals would think it is quite warm for winter. What makes GWTC-2 different from other classifications is that it classifies multivariate surface weather situations relative to a climatological normal. Associating GWTC-2 weather types to human health and other biological systems might respond to multivariate departures from normal more-so than raw meteorological conditions (Lee, 2015a).

Table 1. The 11 GWTC-2 weather types (Lee, 2015b).

| Increasing Humidity | Increasing Temperature | <div> <div></div> <div></div> </div> | |
|--------------------------------------|------------------------|--------------------------------------|--------------------------|
| <div> <div></div> <div></div> </div> | Humid Cool (HC) | Humid (H) | Humid Warm (HW) |
| | Cool (C) | Seasonal (S) | Warm (W) |
| | Dry Cool (DC) | Dry (D) | Dry Warm (DW) |
| | | Cold Frontal Passage (CFP) | Warm Front Passage (WFP) |
| | | | |

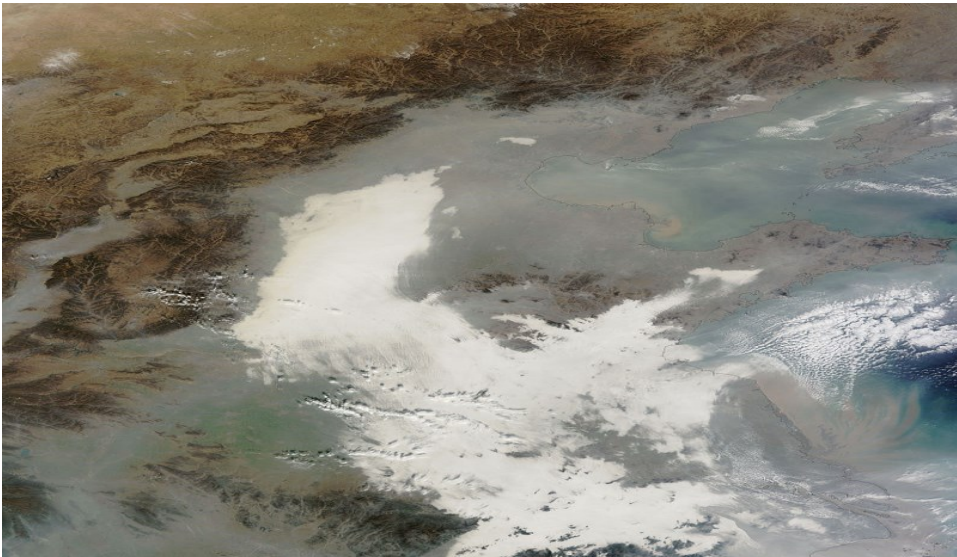


Figure 2: Satellite Image of smog covering Eastern China on December 7, 2013. NASA obtained this image using Terra satellite with a Moderate Resolution Imaging Spectroradiometer (MODIS) sensor.

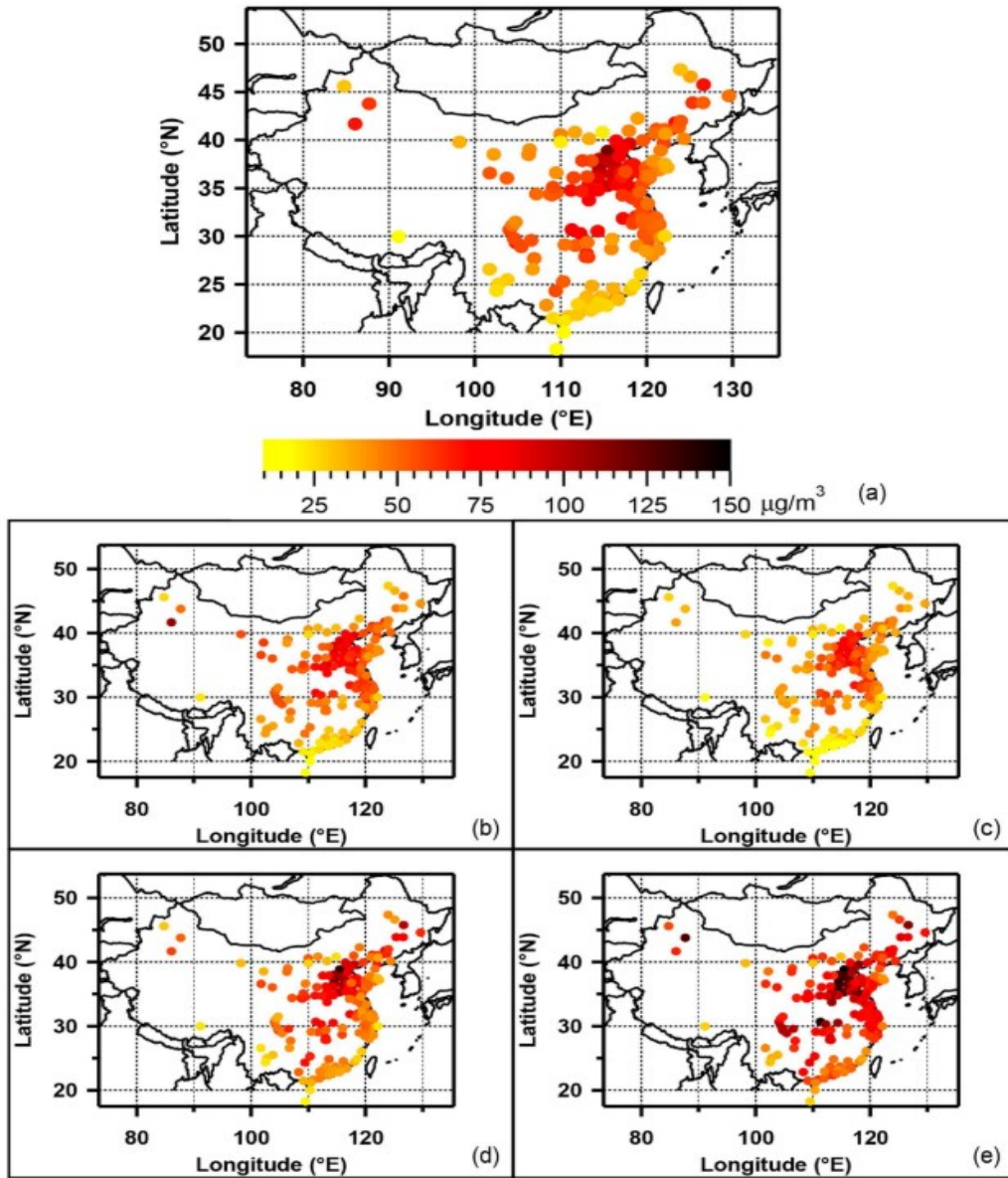


Figure 3: a) The averaged PM_{2.5} concentrations ($\mu\text{g}/\text{m}^3$) of the 190 cities of China, during the year of 2014/2015 and b) during spring, c) summer, d) autumn and e) winter (Y. L. Zhang et al., 2015).

MATERIALS AND METHODOLOGY

Study Area

The Yangtze River Delta (YRD) area is composed of Jiangsu province, Zhejiang province, and the city of Shanghai. The terrain in YRD is generally flat and low-lying floodplain with hilly areas in Hangzhou located in Zhejiang province. The YRD region has a marine monsoon subtropical climate with hot and humid summers, cool and dry winters (Gu, Hu, Zhang, Wang, & Guo, 2011). Rapid urbanization in the YRD has transformed this area into a key economic region, covering a total area of 81,350 mi² (Jiangsu: 39,600mi², Zhejiang: 39,900 mi², Shanghai: 2,450 mi²), 1% of the total land area of China, and home to over 162 million people (China National Bureau of Statistics, 2018). The Yangtze River flows through Jiangsu and into the East China Sea through the Yangtze River Delta as seen in Figure 4. Figure 5 shows the operating coal power stations in Jiangsu and Zhejiang provinces, with 76 and 23 respectively. Shanghai, a logistics and financial center in mainland China, has only eight operation coal power stations (Global Energy Monitor, 2020). The heatmap in Figure 6, shows the highest energy generated in the coal power stations is located along the Yangtze River, indicating high levels of economic activity. Appendix A-1, A-2, and A-3 show the total number of operating units and power stations in the YRD. Jiangsu province had a GDP of \$1.2 trillion followed by Zhejiang province at \$735.3 billion and Shanghai at \$425.6 billion in 2017 (Textor, 2020). Major industries contributing to the GDP of YRD include electronics, chemicals, textiles, steel, metal fabrication, automobiles, petrochemicals, and power generation (International Trade Administration, 2014). Nearly 22% of China's GDP, with an average annual economic growth of 20%, is concentrated in the YRD (Shanghai Expo, 2020).

Data Source

This study focuses on PM_{2.5}, one of the six pollutants (SO₂, NO₂, CO, O₃, PM₁₀, and PM_{2.5}) recorded by the AQMS in the YRD. The hourly pollutant readings were obtained from March 2015 – June 2016 through the assistance of Dr. Xiaomin Qiu. The readings were measured in micrograms (ug/m³). Figure 6 shows the locations of 125 AQMS in 23 cities within the YRD where data was collected for this study. Jiangsu and Zhejiang provinces had 73 and 44 AQMS while Shanghai only had 8 AQMS. The 23 cities in this study are shown in Figure 7. An Air Quality Index (AQI), issued by EPA (2009b), comprises of six levels evaluating all six air pollutants (Table 2): good (Grade I, 0–50 ug/m³), moderate (Grade II, 51–100 ug/m³), unhealthy for sensitive groups (Grade III, 101–150 ug/m³), unhealthy (Grade IV, 151–200 ug/m³), very unhealthy (Grade V, 201–300 ug/m³) and hazardous (Grade VI, 301–500 ug/m³). The value 100 ug/m³ is considered the acceptable standard from a public health perspective where few hypersensitive individuals should reduce outdoor exercise. Hence, the Grade II standard has been widely used and generally accepted by governments and the public. It is a critical ambient air quality standard for judging whether air pollution is out of limits.

At each monitoring site, the real-time concentrations of PM_{2.5} are measured using the beta ray absorption method (BAM) from commercial instruments and/or the tapered element oscillating microbalance (TEOM) (Jakowiuk, Urbański, Świstowski, Machaj, & Pieńkos, 2008); Patashnick & Rupprecht, 1991). The beta ray absorption (BAM) technique employs the absorption of beta radiation by solid particles extracted from the airflow, which allows for the detection of PM_{2.5} (Jakowiuk et al., 2008). In contrast, TEOM collects PM on a vibrating substrate and measures the change in the oscillation frequency that decreases with mass loading (Patashnick & Rupprecht, 1991). Another requirement in the data collection by the AQMS is that

they must be a thousand meters clear of tall buildings, trees, or other obstructions that could impede air circulation. The AQMS data was observed at 3-15 meters above ground in open areas with no emission sources (She et al., 2017).

Methods

Data Visualization. TIBCO Spotfire is a data visualization tool that allows us to access and combine data in a single analysis and get a holistic view of interactive visualization. TIBCO Spotfire allowed the processing of large amounts of hourly PM_{2.5} information stored in MS Excel at once. It generated maps, observing spatial trends of PM_{2.5} in each AQMS provincially. Figures with monthly mean and all readings of PM_{2.5} provincially were also produced.

Inverse Distance Weighted (IDW) Interpolation. Prior studies have utilized numerous interpolation techniques that are used to create a continuous surface from sampled point values in estimating PM. Interpolation methods such as spatial averaging, nearest neighbor, inverse distance weighting (IDW), and Kriging have been used (Krewski et al., 2009; Wong, Yuan, & Perlin, 2004). These interpolation tools are divided into two methods: (1) geostatistical and (2) deterministic interpolation. Geostatistical techniques create a prediction surface and provide some measures of the certainty or accuracy or predictions, e.g. Kriging interpolation. Deterministic interpolation methods assign values to locations based on the surrounding measured values and on specified mathematical formulas that determine the smoothness of the resulting surface (ESRI, 2016). These methods include inverse distance weighting (IDW), the interpolation technique used in this study.

Based on Tobler's First Law of Geography, where things close to one another share more similarities than those afar (Tobler, 1970), the IDW technique calculates an average value for

unsampled locations using values from nearby weighted locations. The weights are proportional to the proximity of the sampled points to the unsampled location and can be specified by the IDW power coefficient. The larger the power coefficient, the stronger the weight of nearby points as can be gathered from the following equation that estimates the value z at an unsampled location j :

$$\hat{Z}_j = \frac{\sum_i Z_i / d_{ij}^n}{\sum_i 1 / d_{ij}^n}$$

The carat (^) above the variable z reminds us that we are approximating the value at j . The parameter n is the weight parameter that is applied as an exponent to the distance thus magnifying the irrelevance of a point at location i as the distance to j increases. Nearby points wield greater influence on unsampled locations than a point further away when the n value is large. In contrast, a small n value generates all points within the search radius equal weight such that all unsampled locations will represent nothing more than the mean values of all sampled points within the search radius (Gimond, 2019). In summary IDW interpolation involves: (a) defining the search area or neighborhood around the point to be predicted; (b) locating the observed data points within this neighborhood; and (c) assigning appropriate weights to each of the observed data points (Wong et al., 2004).

Space-Time Pattern Mining. Further analysis involves the application of the space-time pattern mining toolbox in ArcGIS Pro. Space-time analysis considers both location and time when determining patterns and relationships to provide a better understanding of a geographic phenomenon (ESRI, 2019b). This toolbox answers data where geography does not change, but the PM_{2.5} readings associated with it change over time. Space-time pattern mining facilitates an examination of intricate data trends that prevail across an area and vary over time. For example,

a space-time cube created from defined locations can structure data into a NetCDF (a multidimensional dataset where defined locations are aggregated) data format by creating space-time bins as seen in Figure 8 (ESRI, 2020). Every bin has a fixed position in space (x and y values) and in time (t) (Figure 9). The hourly PM_{2.5} data for each station was aggregated into a monthly time-step interval to understand the PM_{2.5} variation over the study period. Once the space-time cube is created, it was visualized in 2D helping understand monthly trends at each station. Furthermore, the emerging hot spot analysis tool in the space-time toolbox recognized the pattern of these trends. This tool identified hot spot (rising PM_{2.5} levels) and cold spot (falling PM_{2.5} levels) patterns. These hot and cold spot patterns were categorized in the following manner (ESRI, 2019a):

- Sporadic Hot Spot Pattern: present at AQMS with an on-again then off-again hotspot. Less than 90% of the time-step intervals are statistically significant hot spots and none of the time-step intervals are statistically significant cold spots.
- Oscillating Hot Spot Pattern: statistically significant hot spot for the final time-step interval and had a history of being a statistically significant cold spot during a prior time-step. Less than 90% of the time-step intervals have been statistically significant hot spots.
- Persistent Cold Spot Pattern: present at AQMS that have been statistically significant cold spots for 90% of the time-step intervals with no observable trend indicating an increase or decrease in the intensity of values over time.
- Sporadic Cold Spot Pattern: present at AQMS that is an on-again then off-again cold spot. Less than 90% of the time-step intervals are statistically significant cold spots and none of the time-step intervals are statistically significant hot spots.
- Oscillating Cold Spot Pattern: statistically significant cold spot for the final time-step interval and had a history of being a statistically significant hot spot during a prior time-step. Less than 90% of the time-step intervals have been statistically significant cold spots.
- No Pattern Detected: Does not fall into any of the hot or cold spot patterns.

Multiple Linear Regression Analysis. Daily average PM_{2.5} readings in the months of March, April, May, and June 2015 and 2016 with the 11 GWTC-2 weather types classified as dummy variables were analyzed by multiple linear regression. The dummy variables took the

value 0 or 1 to indicate the presence or absence of the GWTC-2 variables' categorical effect that may shift the outcome. The months of March, April, May, and June were selected for both years as they allowed for a comparison of the PM_{2.5} and the GWTC-2 variables over the sixteen-month study period. The starting and ending months acted as “transitionary months” with March going to spring from the winter season and June fading into summer from the spring season. The multiple linear regression analysis helped understand the GWTC-2 weather types affecting PM_{2.5}.

Table 2. Air Quality Index (AQI) by the EPA containing the six levels of evaluating air quality.

| Air Quality Index (AQI) by EPA | | |
|--------------------------------------|--------------------------------|-----------------|
| Values of Index (ug/m ³) | Levels of Concern | Daily AQI Color |
| 0 – 50 | Good | Green |
| 51 – 100 | Moderate | Yellow |
| 101 – 150 | Unhealthy for Sensitive Groups | Orange |
| 151 – 200 | Unhealthy | Red |
| 201 – 300 | Very Unhealthy | Purple |
| 301 – 500 | Hazardous | Maroon |

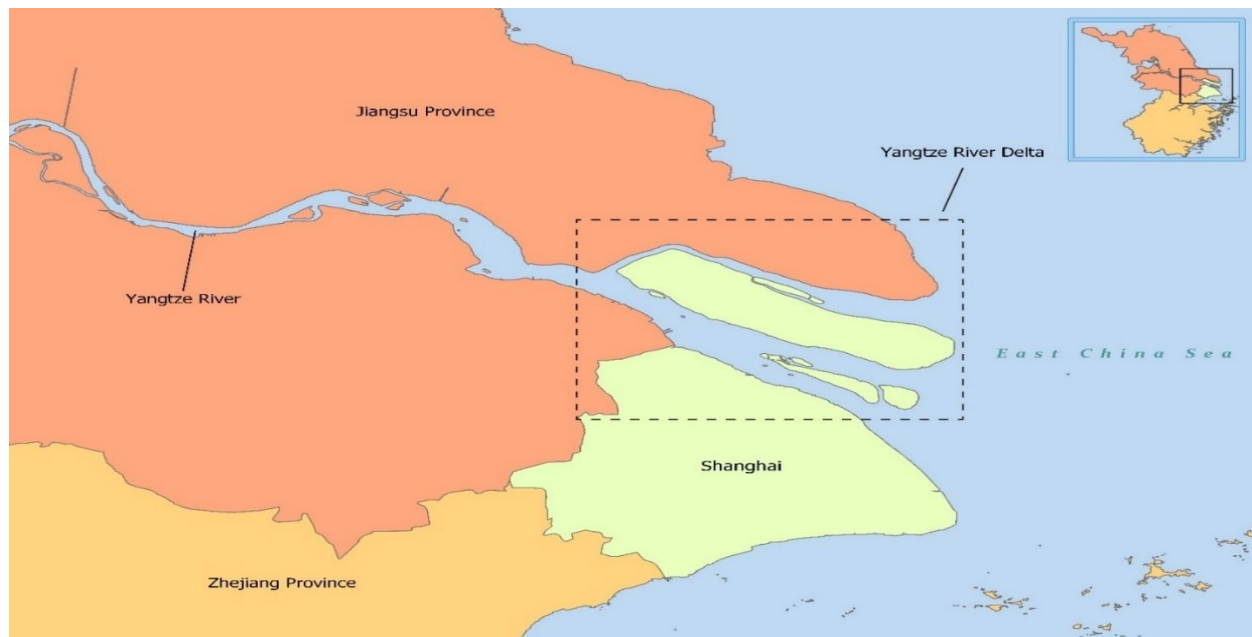


Figure 4: Location of the Yangtze River.

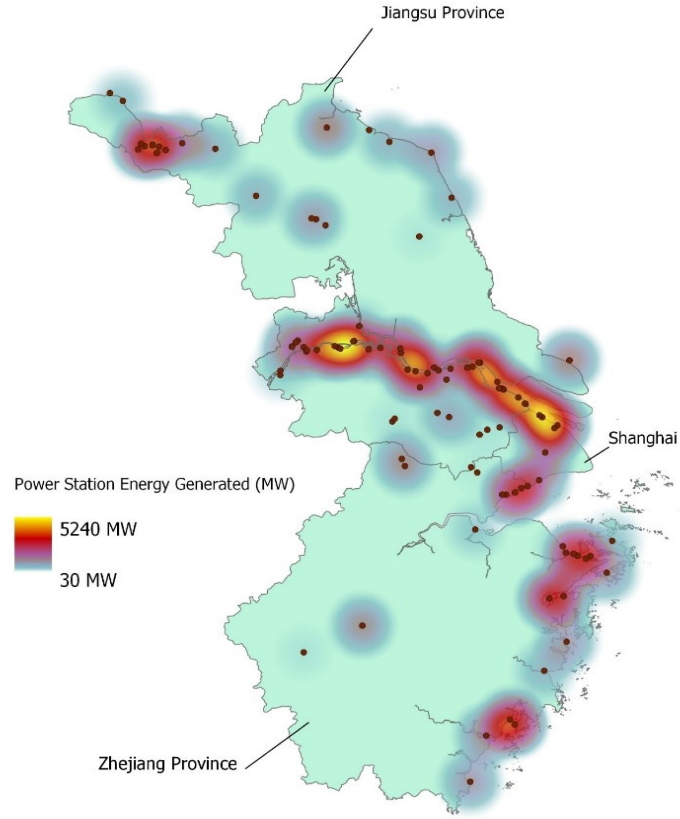


Figure 5: Operating coal power station in YRD with annual energy generated in Megawatts (MW) (Global Energy Monitor, 2020).

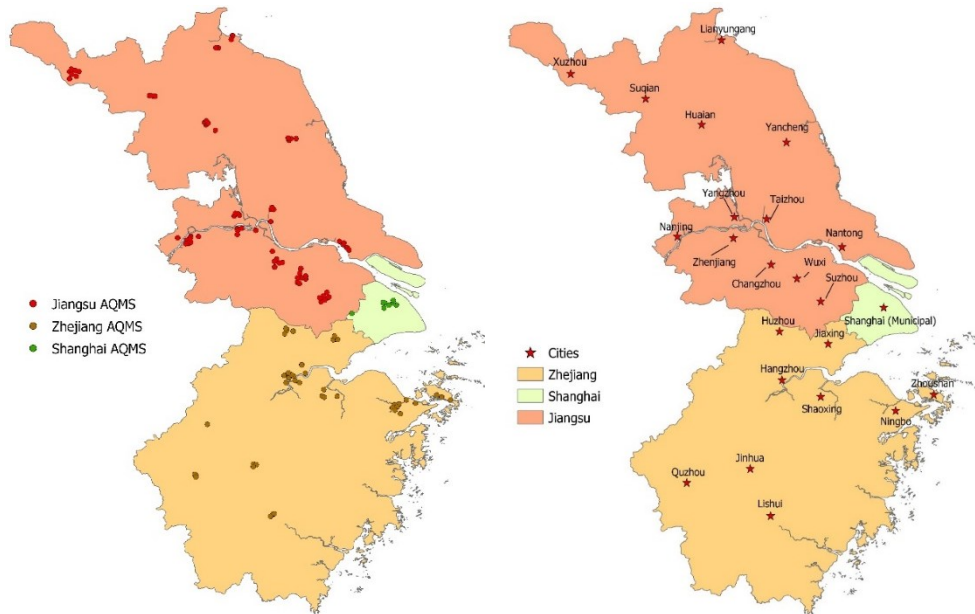


Figure 6: AQMS locations in YRD. Jiangsu Province (76 AQMS); Zhejiang province (44 AQMS); Shanghai (8 AQMS).

Figure 7: Cities with AQMS observed in the study.

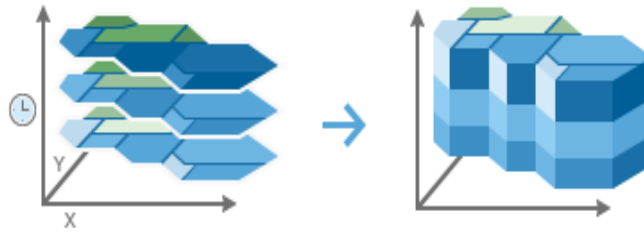


Figure 8: Illustration of Space-time cube (ESRI, 2020).

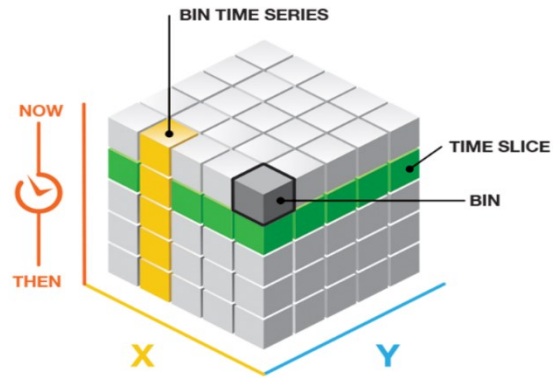


Figure 9: Illustration of Space-time bin. Every bin has a position in space (x and y) and time (t). (ESRI, 2019a).

RESULTS

TIBCO Spotfire

The PM_{2.5} levels showed significant monthly variation with the warmer months displaying low levels of pollutants in contrast to the cooler months, where the entire region displayed high levels of pollutants. Enhanced emissions from fossil fuel combustion, biomass burning for domestic heating, and “unfavorable” metrological conditions contribute to the pollution dispersion. An example of unfavorable meteorological conditions is when cold weather makes it difficult for car emission control systems to operate effectively (She et al., 2017). Temperature inversion plays a key role, as a dense layer of cold air gets trapped under a layer of warm air which acts as a lid, trapping PM_{2.5} in the cold air near the ground and as a result limiting diffusion of air pollutants (TCHD, 2016).

As observed in Figure 10 (a), (b), and (c), the highest monthly averages were observed in December 2015 for Jiangsu, Shanghai, and Zhejiang at 93 ug/m³, 106 ug/m³, and 75 ug/m³ respectively. Zhejiang compared with Jiangsu and Shanghai, has a relatively lower monthly mean for December 2015. In contrast, the lowest mean PM_{2.5} readings in Jiangsu, Shanghai, and Zhejiang were at 34 ug/m³ (September 2015), 51ug/m³ (May 2015), and 29 ug/m³ (July 2015) respectively.

Figures 11 (a), (b), (c) display the readings in ug/m³ on the y-axis and months on the x-axis. Jiangsu province recorded the highest reading at 789 ug/m³ in February 2016, which is greater than EPA’s hazardous level (Grade VI, 301-500 ug/m³). In contrast to Jiangsu, Shanghai recorded a high of 237 ug/m³ in December 2015 while Zhejiang province had the highest recorded in January 2016 at 438 ug/m³. It is important to note that all these values were recorded

in the winter months of December 2015, January 2016, and February 2016. Figure 12 displays the AQI levels in the Jiangsu province. The criteria were set at good (Grade I, 0–50 $\mu\text{g}/\text{m}^3$), moderate (Grade II, 51–100 $\mu\text{g}/\text{m}^3$), unhealthy for sensitive groups (Grade III, 101–150 $\mu\text{g}/\text{m}^3$), unhealthy (Grade IV, 151–200 $\mu\text{g}/\text{m}^3$), very unhealthy (Grade V, 201–300 $\mu\text{g}/\text{m}^3$) and hazardous (Grade VI, 301–500 $\mu\text{g}/\text{m}^3$). We can see that Grades I–VI were observed at every station over the course of the study. However, readings greater than Grade VI were observed in AQMS in Taizhou, Nantong, Wuxi, Suzhou, Xuzhou, and Lianyungang. Shanghai, recorded readings between Grade I–V (Figure 12). We can see in Figure 13 that Shanghai covers much less area in comparison to Jiangsu and Zhejiang provinces and there are only 8 AQMS present in Shanghai meaning substantially less hourly recorded data. Only one station in Shanghai was recorded as very unhealthy (Grade V, 201–300 $\mu\text{g}/\text{m}^3$) levels. All but one AQMS recorded unhealthy levels for sensitive groups (Grade III, 101–150 $\mu\text{g}/\text{m}^3$), while the remaining AQMS recorded unhealthy (Grade IV, 151–200 $\mu\text{g}/\text{m}^3$) levels.

Air quality monitoring stations (AQMS) in Zhejiang province attained AQI levels ranging from Grade I to Grade VI (Figure 14). All AQMS experienced good (Grade I, 0–50 $\mu\text{g}/\text{m}^3$) to very unhealthy (Grade V, 201–300 $\mu\text{g}/\text{m}^3$) levels on the AQI. Jinhua, Ningbo, and Zhoushan were the only cities in Zhejiang province exempted from hazardous (Grade VI, 301–500 $\mu\text{g}/\text{m}^3$) levels. It is important to note that out of the 125 AQMS, 73 are in Jiangsu province (13 cities), 8 in Shanghai, and 44 in Zhejiang province (9 cities).

Inverse Distance Weighted (IDW) Interpolation

The data produced 16 interpolated maps (monthly), showing concentration spread over the YRD. PM_{2.5} categories were manually classified by obtaining the average of all IDW values from the product of quantile classification. The manual classifications are: $\leq 38 \text{ ug/m}^3$, $\leq 45 \text{ ug/m}^3$, $\leq 52 \text{ ug/m}^3$, $\leq 61 \text{ ug/m}^3$, and $<158 \text{ ug/m}^3$. Figure 15 displays the first four months of the readings (March - June 2015) which portray an interesting spread of PM_{2.5}. During March 2015, we observe the majority of Jiangsu province, and Shanghai were covered in the highest interpolated values ($61 \text{ ug/m}^3 \leq x < 158 \text{ ug/m}^3$), while only the western part of Zhejiang province was dominated by the highest interpolated values. In April 2015, the highest interpolated values transferred to central YRD where the southern Jiangsu, and northern Zhejiang provinces are located. The highest interpolated values dispersed into May 2015 as it did in March 2015; however, it accommodated a larger area in Zhejiang. As summer approached, June 2015 generated the lowest interpolated values ($\leq 38 \text{ ug/m}^3$) through the YRD except along the Yangtze River where majority of industrialization takes place. In Figure 16, July, August, and September 2015 followed decreasing levels, indicating better air quality in the summer months. However, as fall approached, the highest interpolated values dominated the central and northern regions of the YRD. Figure 17 shows that as November 2015 transitioned into the colder months, high PM_{2.5} levels covered Jiangsu and by December 2015 covered the whole YRD. January 2016 followed the same pattern, while the eastern parts of Zhejiang started to show signs of lower interpolated values in February 2016. High interpolated PM_{2.5} values remained throughout the YRD in March 2016 (Figure 18). As warmer weather surged, the values dramatically dropped through the whole Zhejiang province during April 2016. By May 2016, the higher interpolated values concentrated

in the eastern half of the YRD less than the previous year (March 2015). Like June 2015, the lower interpolated values dominated June 2016.

Space-Time Pattern Mining

Decreasing trends in $PM_{2.5}$ concentrations were observed over the study area through the 2D space-time cube visualization (Figure 19). It is important to note that not all stations have the same downward trend. Most cities on the Yangtze River show a downward trend with a 95% confidence over time. While in Jiangsu province, most stations in the cities of Nantong and Suzhou portray 99% confidence in a downward trend. Huzhou and Jiaxing in Zhejiang province compile of some stations with 99% confidence in a downward trend. Stations 95% and greater in a downward trend are located near the central region of the YRD. This indicates that $PM_{2.5}$ levels have been going down over the study period along the Yangtze River. Figure 20 is the product of emerging hot spot analysis with the hourly data aggregated in monthly time-step intervals.

AQMS located in the city of Suqian in Jiangsu province produced a sporadic hot spot pattern. In Zhejiang province, the cities of Shaoxing, Hangzhou, Jinhua, and Quzhou produced an oscillating hot spot pattern. While Xuzhou was the only city in Jiangsu province with an oscillating hot spot pattern. AQMS located in the far eastern region of Zhejiang province produced persistent and sporadic cold spot patterns. All the AQMS in Zhoushan and two AQMS from Ningbo illustrated persistent cold spot patterns. The other 7 AQMS in Ningbo produced a sporadic cold spot pattern indicating an on-again then off-again cold spot. The AQMS situated in cities along the Yangtze River including Zhenjiang, Taizhou, Yangzhou, and Nantong from Jiangsu province and Shanghai, produced an oscillating cold spot pattern. The AQMS present in the city of Yancheng in Jiangsu province also produced an oscillating cold spot pattern. The rest of the AQMS in the YRD did not detect a pattern.

Most of the AQMS along the river were oscillating cold spots, with others showing no pattern. The trend in PM_{2.5} levels over the study period were expected to be higher due to the high energy output of coal power stations. However, that was not the case from the space-time pattern analysis. The northern-eastern city of Xuzhou in Jiangsu province and southern cities of Hangzhou, Shaoxing, Jinhua, and Quzhou in Zhejiang province were the only cities to present oscillating hot spot patterns. They are located far from the Yangtze River and these cities in Zhejiang (3 power stations) oversee less presence of coal power stations contrary to Xuzhou (13 power stations in Jiangsu).

Multiple Linear Regression Analysis

The readings were obtained from AQMS in the YRD over 16 months starting in March 2015 until June 2016. Data for the months of March, April, May, and June (spring-to-summer) which is ideal for understanding the relationship between GWTC-2 and PM_{2.5}. Tables 3 and 4 examined the weather types in each province and their p-values in relation to PM_{2.5}. The p-values for the weather types Humid Warm (HW), Warm (W) and Dry Warm (DW) in Jiangsu prove to be statistically significant (<0.05) at 0.01200, 0.00001 and 0.02278 respectively. For Jiangsu, in the spring-to-summer months in 2016, only W had a p-value statistically significant at 0.01944. Statistically significant p-values in Shanghai were only present in 2015. Like Jiangsu, Shanghai had HW, W, and DW with p-values at 0.05033, 0.00268, and 0.00548 respectively. In contrast, GWTC-2 weather types in Zhejiang only had statistically significant p-values in 2016 at 0.03418, 0.01851, and 0.00714 for Humid (H), Warm (W) and Cold Front Passage (CFP) respectively. Figures 21, 22, and 23 illustrate the average PM_{2.5} present on statistically significant GWTC-2 classification (H, HW, W, CFP) days during spring-to-summer months in 2015 (orange

bar) and spring-to-summer months in 2016 (aqua bar). In Jiangsu and Shanghai, the average PM_{2.5} values are higher for each GWTC-2 variable except CFP for Shanghai in 2015 than 2016. Zhejiang follows a similar trend except for DW where the 2016 average is higher than 2015. In Jiangsu, presence of HW had an average PM_{2.5} reading of 53 ug/m³ (7 days), W at 72.4 ug/m³ (11 days) and DW at 58.6 ug/m³ (5 days) in the spring-to-summer months in 2015, while W was at 63 ug/m³ (8 days) in the spring-to-summer months in 2016. Similarly, in Shanghai HW had an average reading of 53.2 ug/m³ (8 days), W at 67.9 ug/m³ (14 days), and DW at 68.8 ug/m³ (5 days) during spring-to-summer in 2015. In contrast, Zhejiang portrayed a strong relationship between H, W, and CFP, while the average PM_{2.5} readings were lower than Jiangsu and Shanghai during the spring-to-summer months 2016. Humid weather type occupied 26 days with an average PM_{2.5} reading of 35 ug/m³, Warm at 32.8 ug/m³ (17 days), and CFP at 22.5 ug/m³ (3 days). More information regarding other GWTC-2 weather types in Jiangsu, Shanghai, and Zhejiang are present in Appendix B-1, B-2, B-3, B-4, B-5, and B-6.

Table 3. Multiple linear regression p-value summary table for statistically significant GWTC-2 variables during March, April, May, and June (2015) for Jiangsu, Shanghai, and Zhejiang.

| GWTC-2 Classification | Jiangsu | Shanghai | Zhejiang |
|--------------------------|----------|----------|----------|
| Humid Warm (HW) | 0.01200 | 0.05034 | 0.26014 |
| Warm (W) | 0.00001 | 0.00268 | 0.09869 |
| Dry Warm (DW) | 0.02278 | 0.00548 | 0.18259 |
| Humid (H) | N/A | N/A | 0.13735 |
| Cold Front Passage (CFP) | 0.433058 | 0.09012 | 0.54079 |

Table 4. Multiple linear regression p-value summary table for statistically significant GWTC-2 variables during March, April, May, and June (2016) for Jiangsu, Shanghai, and Zhejiang.

| GWTC-2 Classification | Jiangsu | Shanghai | Zhejiang |
|--------------------------|---------|----------|----------|
| Humid Warm (HW) | 0.06433 | 0.95817 | 0.07458 |
| Warm (W) | 0.01944 | 0.28070 | 0.01851 |
| Dry Warm (DW) | 0.15646 | 0.93892 | 0.17427 |
| Humid (H) | 0.16580 | 0.79515 | 0.03418 |
| Cold Front Passage (CFP) | 0.20359 | 0.53160 | 0.00714 |

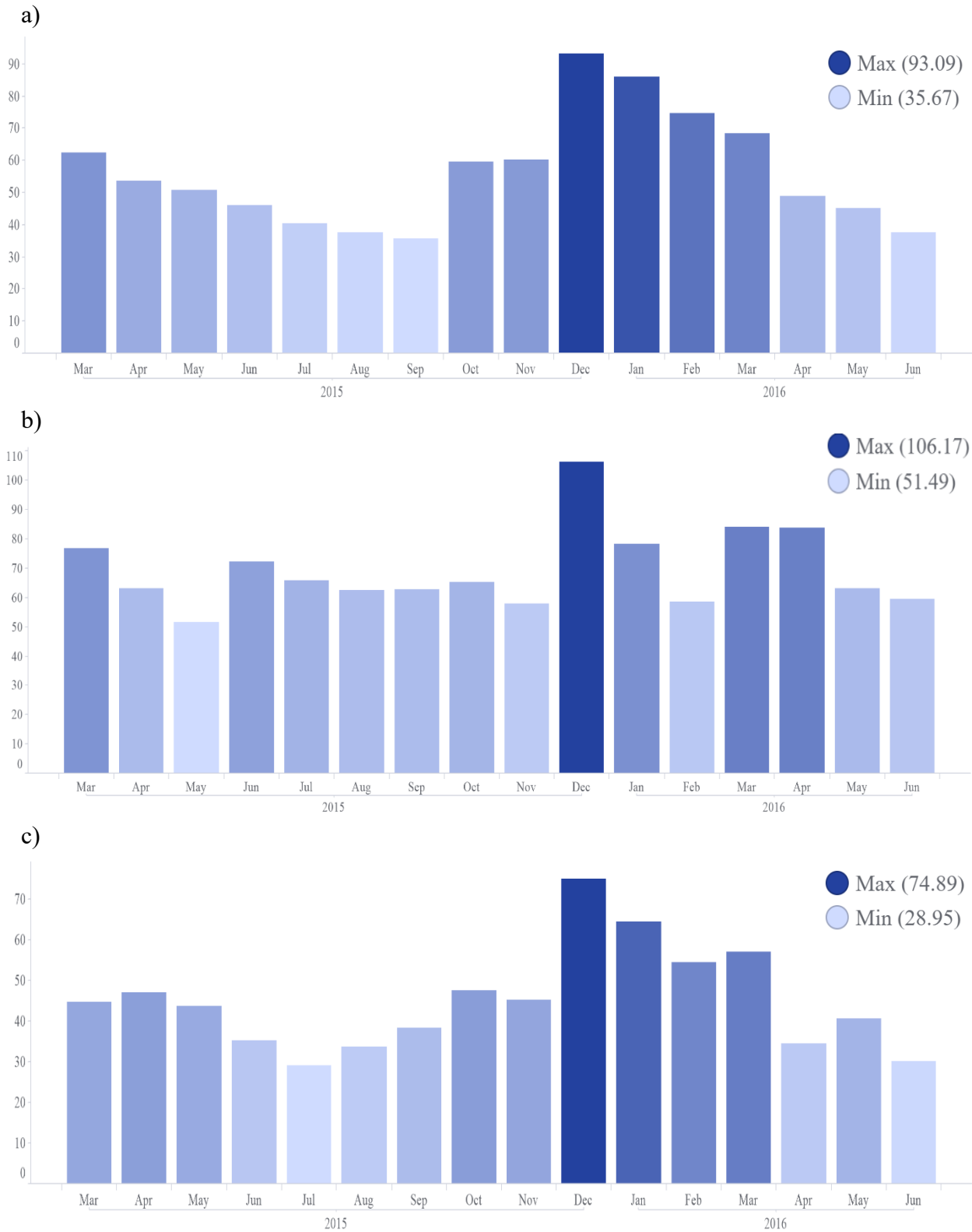


Figure 10: Monthly mean PM_{2.5} (x-axis) for (a) Jiangsu, (b) Shanghai, (c) Zhejiang. All averages in ug/m³. AQMS recording period on y-axis. Produced using TIBCO Spotfire.



Figure 11: Monthly PM_{2.5} readings (x-axis) for (a) Jiangsu, (b) Shanghai, (c) Zhejiang. All readings in ug/m³. AQMS recording period on y-axis. Produced using TIBCO Spotfire.

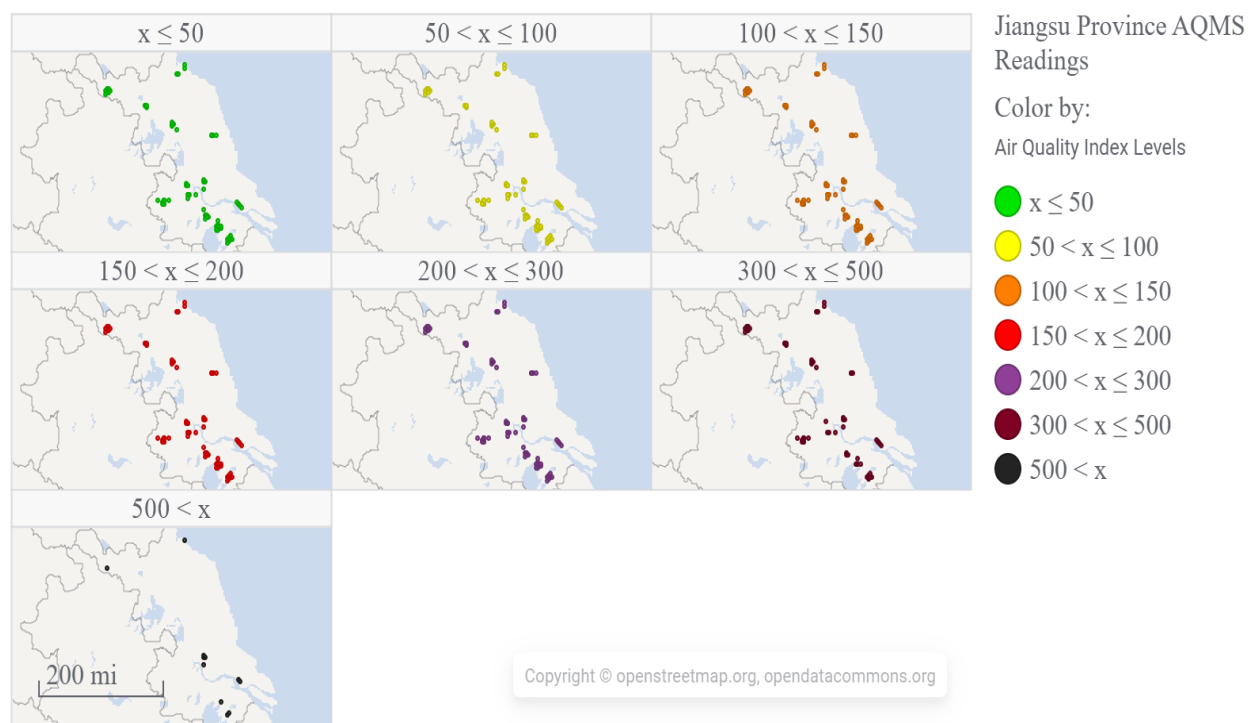


Figure 12: Jiangsu province AQMS AQI levels (ug/m^3) over course of study. Map generated via Tibco Spotfire.

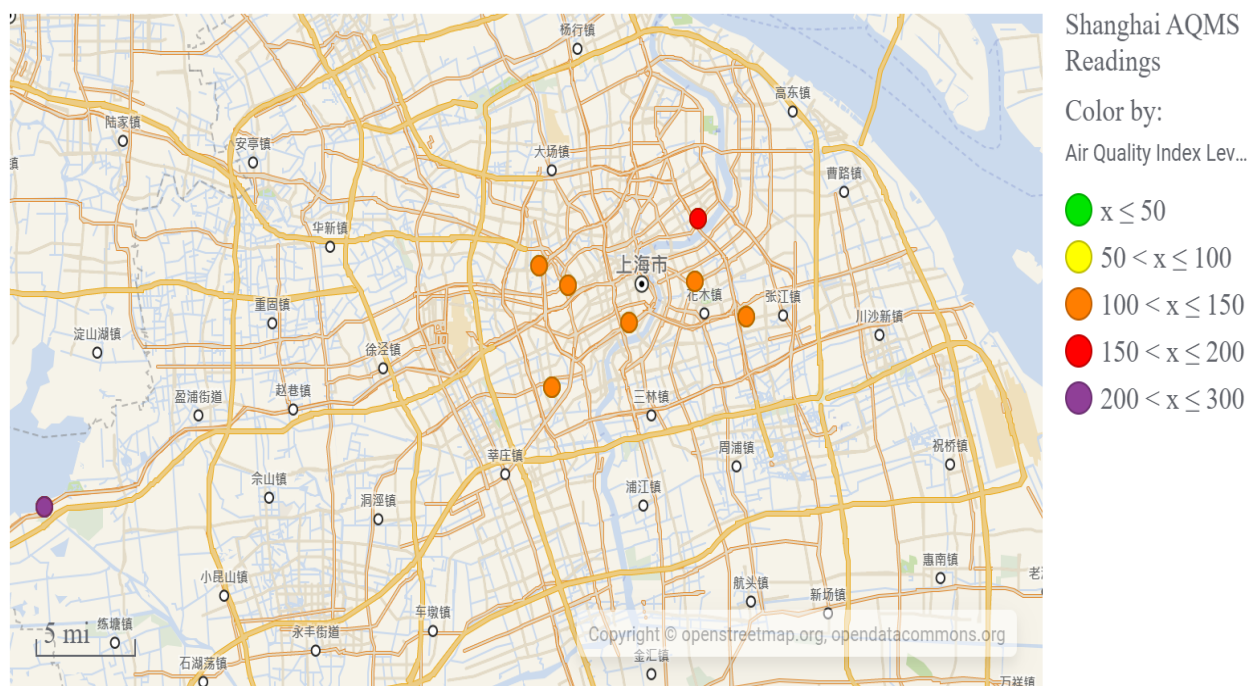


Figure 13: Shanghai municipal AQMS AQI levels (ug/m^3) over course of study. Map generated via Tibco Spotfire.

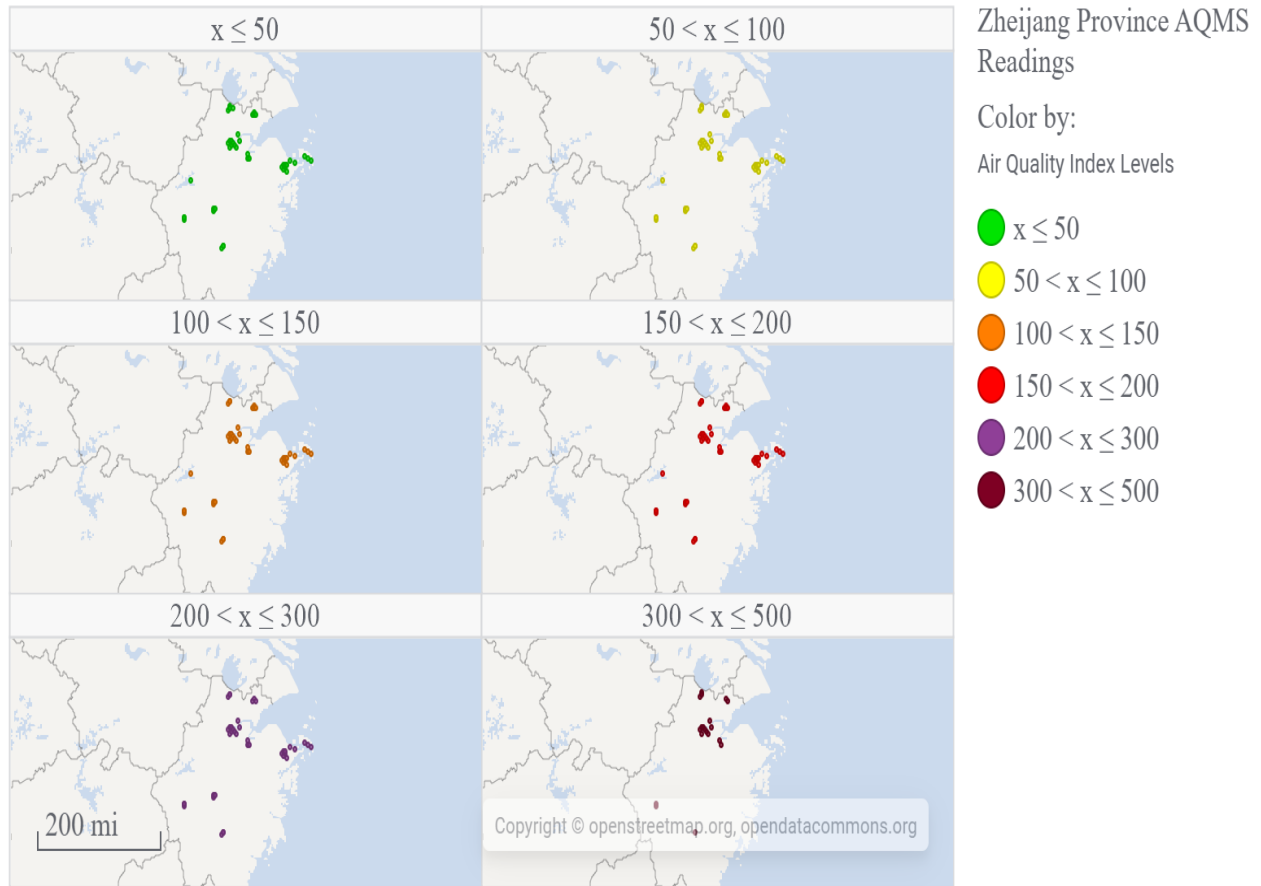


Figure 14: Zhejiang province AQMS AQI levels ($\mu\text{g}/\text{m}^3$) over course of study. Map generated via Tibco Spotfire.

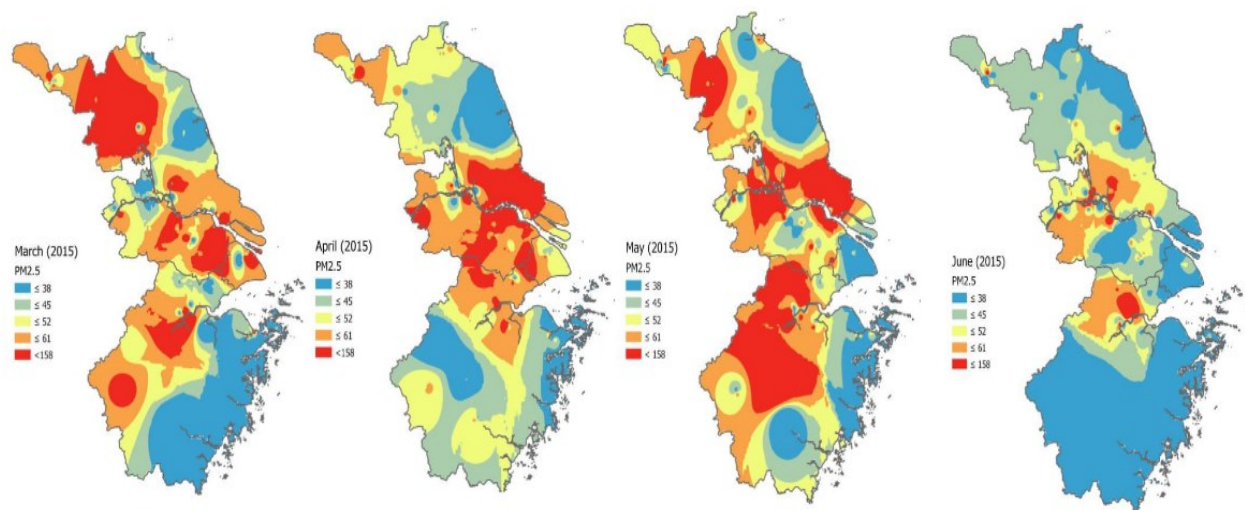


Figure 15: PM_{2.5} values in $\mu\text{g}/\text{m}^3$; March – June 2015 (IDW).

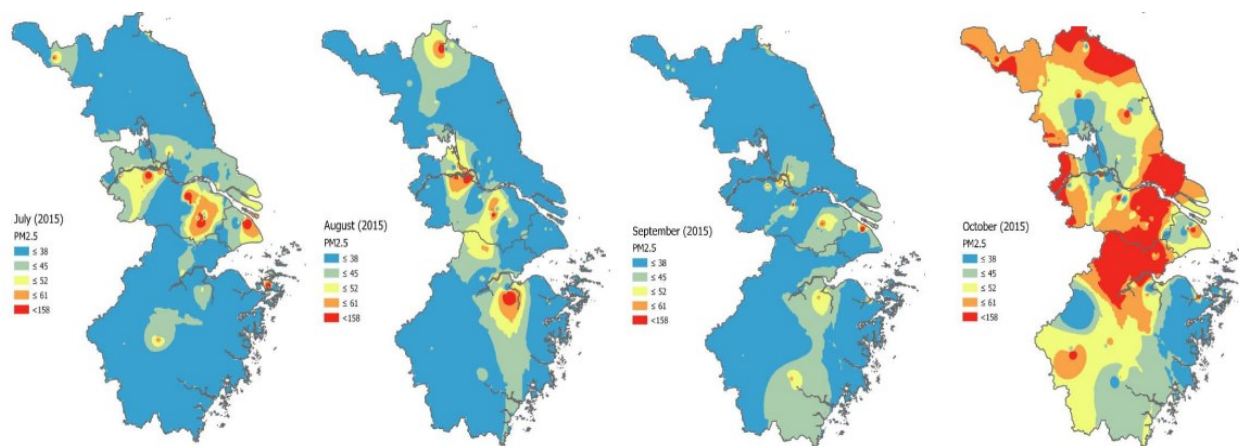


Figure 16: PM_{2.5} values in ug/m³; July – October 2015 (IDW).

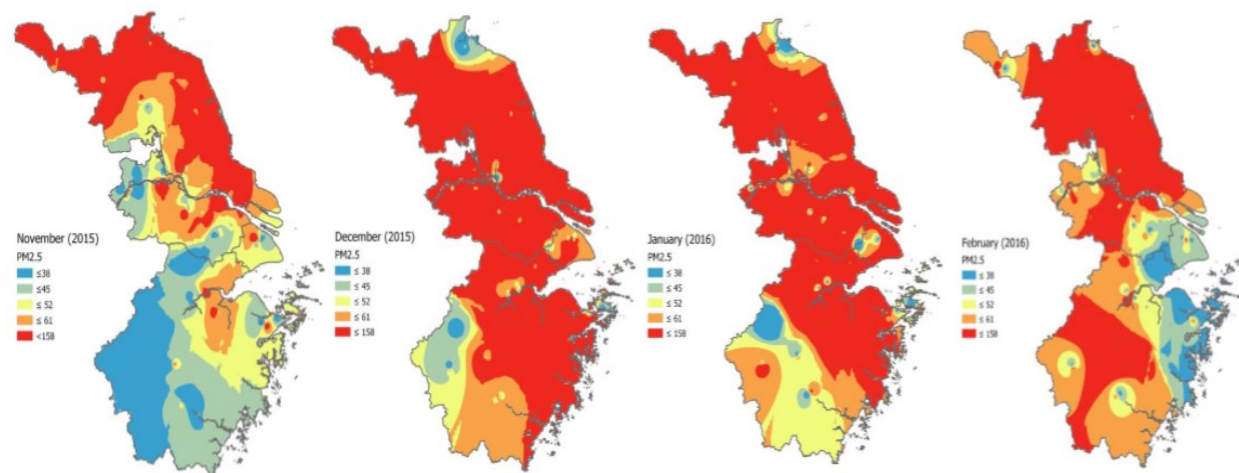


Figure 17: PM_{2.5} values in ug/m³; November, December 2015, January and February 2016 (IDW).

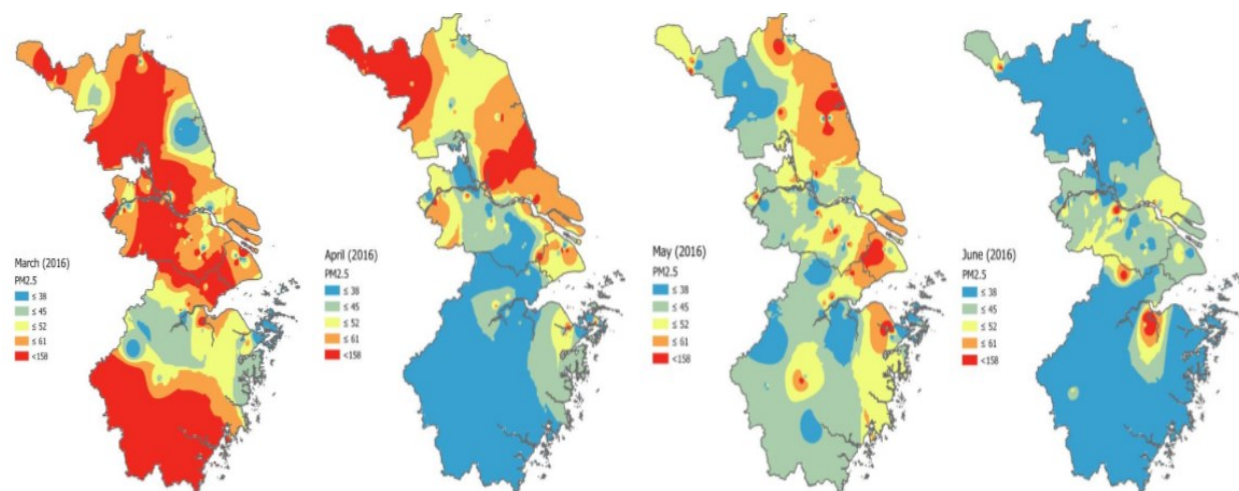


Figure 18: PM_{2.5} values in ug/m³; March – June 2016 (IDW).

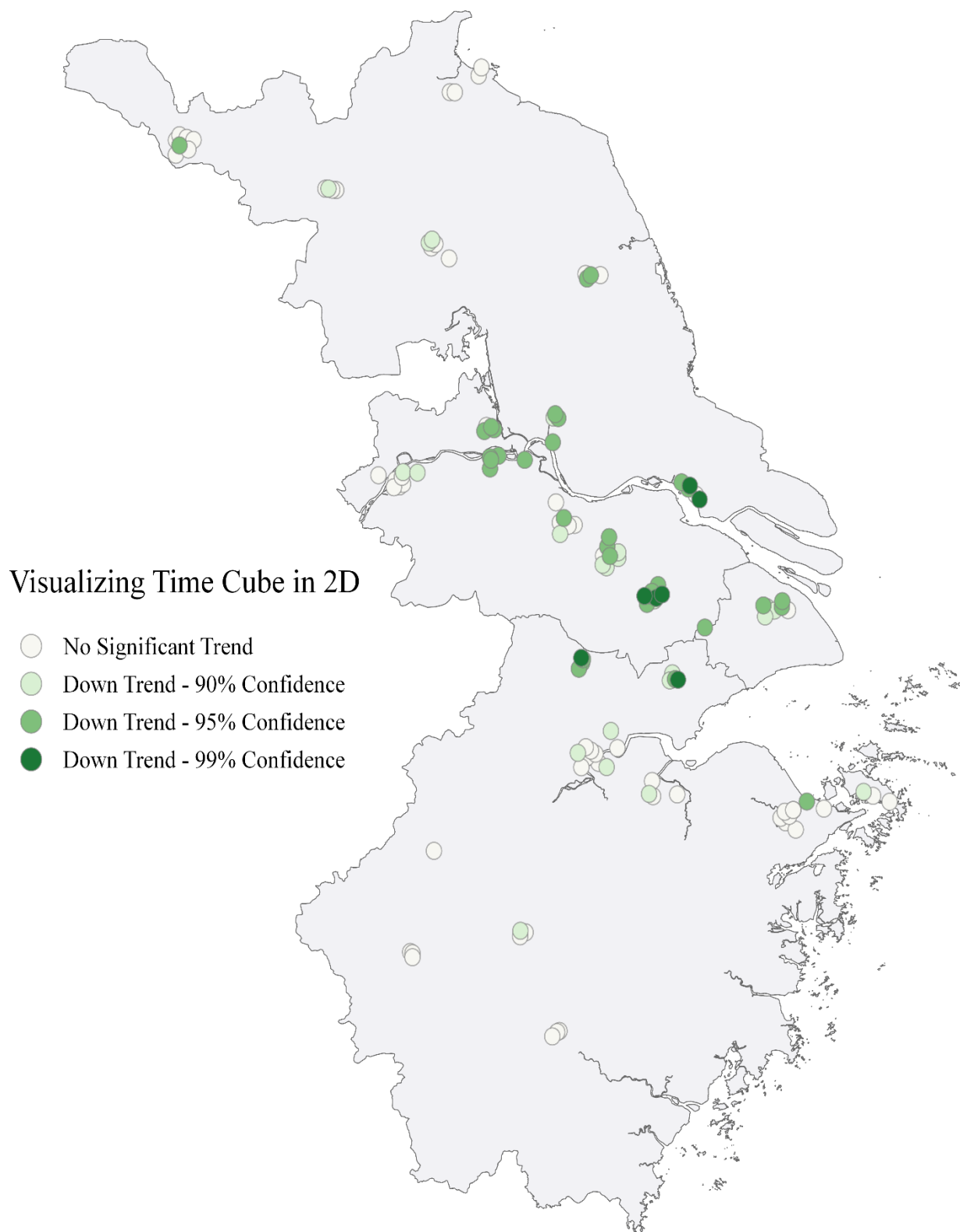


Figure 19: Visualizing time cube in 2D. Displays trend over time; visible decreasing trend over time around the Yangtze River. Product of space-time cube created through space-time pattern mining on ArcGISPro.

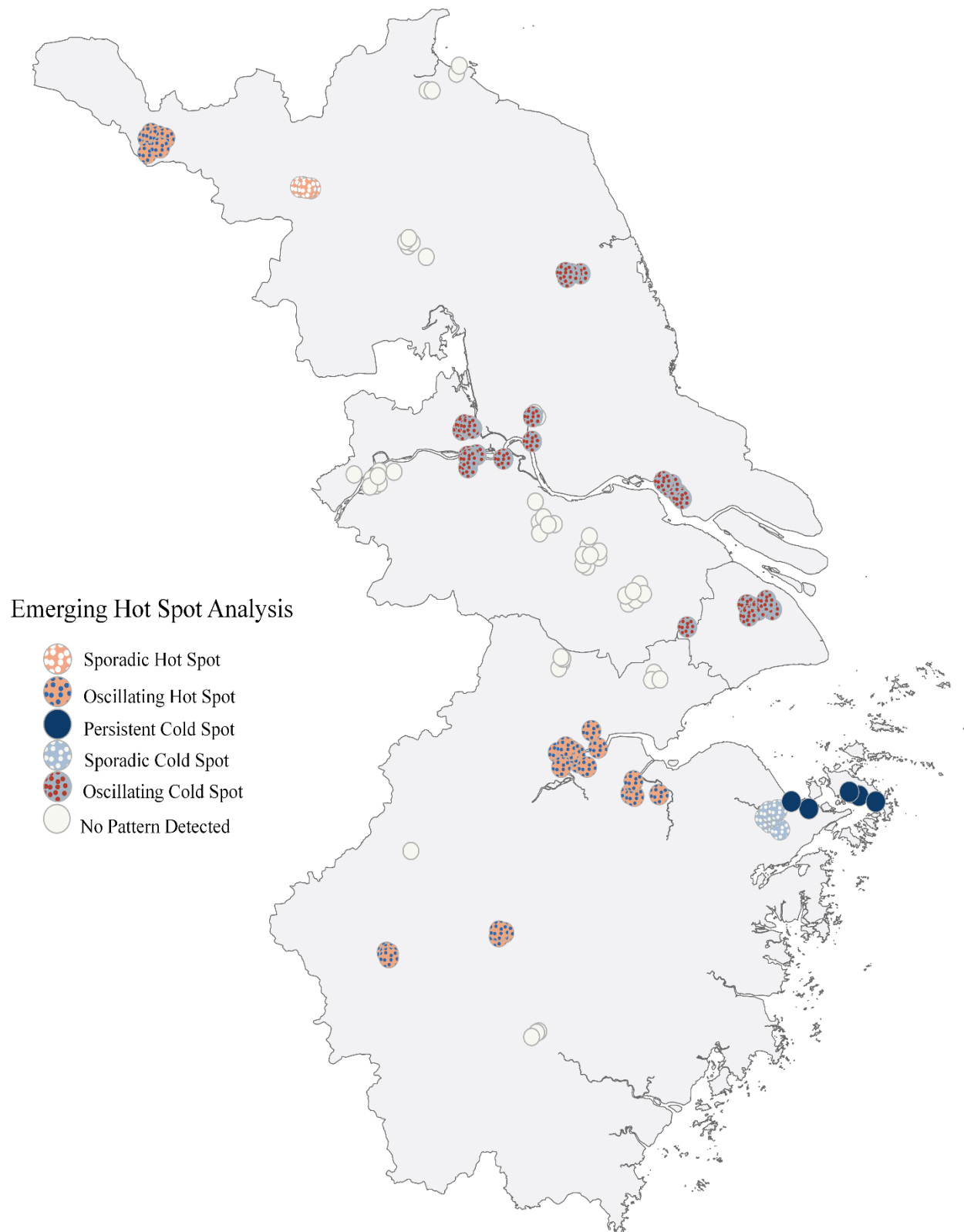


Figure 20: Emerging hot spot analysis. Displays pattern over time (aggregated monthly); Product of space-time cube created through space-time pattern mining on ArcGISPro.

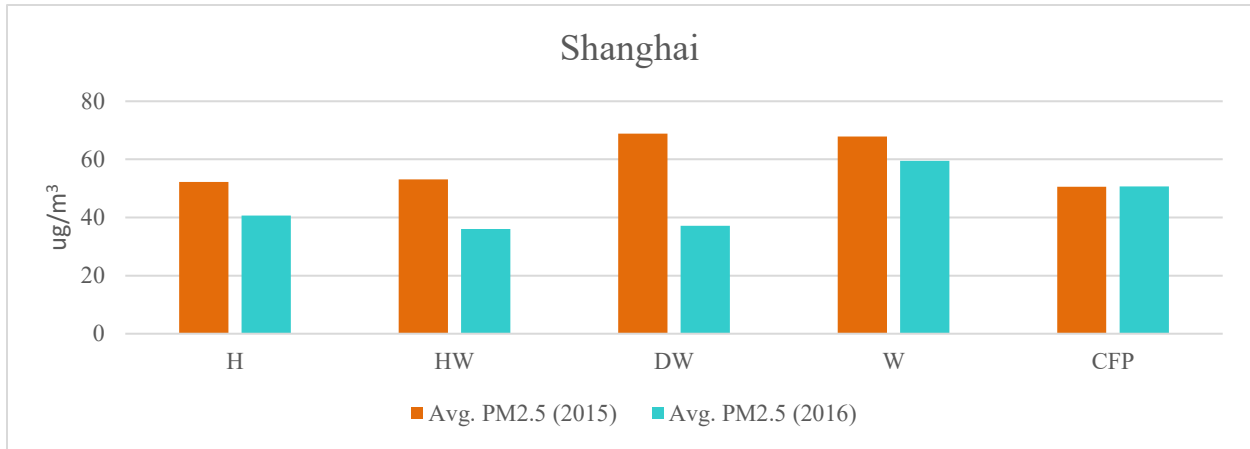


Figure 21: Average PM_{2.5} in Shanghai on days H, HW, DW, W, CFP are present.

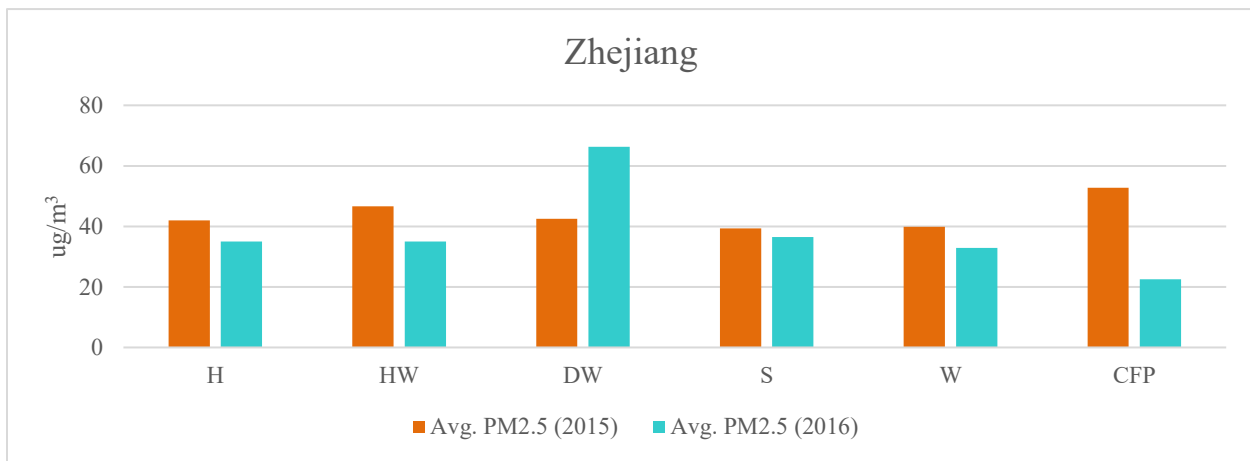


Figure 22: Average PM_{2.5} in Zhejiang on days H, HW, DW, W, CFP are present.

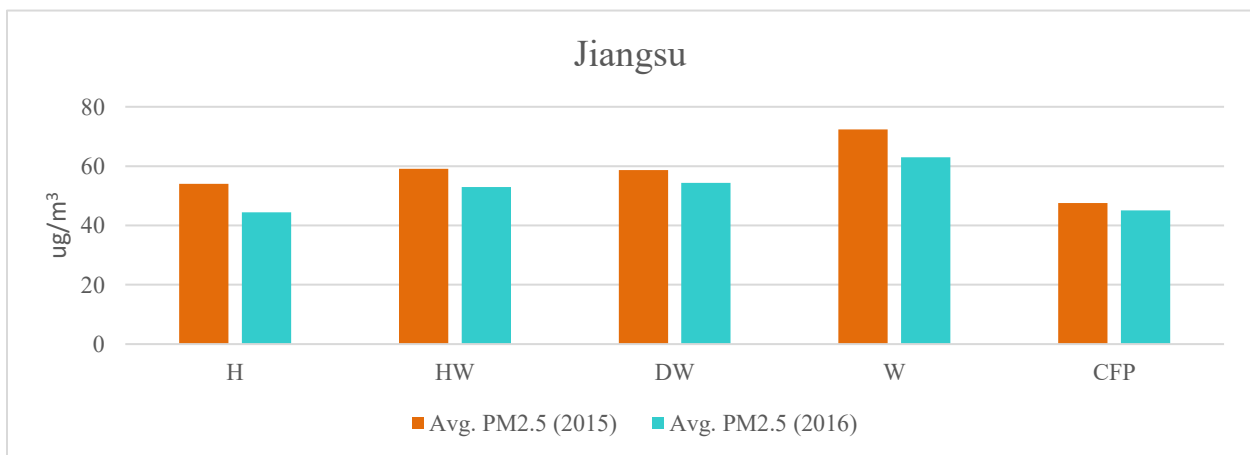


Figure 23: Average PM_{2.5} in Jiangsu on days H, HW, DW, W, CFP are present.

DISCUSSION

Using ambient air readings collected at the AQMS in YRD, this study investigated the trends and distribution of PM_{2.5} using TIBCO Spotfire, IDW interpolation, and space-time pattern analysis. IDW interpolation (Figure 17) demonstrated that PM_{2.5} levels are elevated highest in the winter months of December 2015, January 2016, and February 2016. This finding is unsurprising given the regularity of temperature inversions, intensified anthropogenic emissions from coal combustion, and biomass burning during the winter months (Zhang & Cao, 2015). The interpolated surface portrays Zhejiang to have lower values in comparison to Jiangsu and Shanghai. These are affected not only by the spread of the AQMS in the southern cities in the YRD but by meteorological factors and locations of operating coal power stations. The uneven distribution of AQMS throughout the YRD could have resulted in a decreased quality of the interpolated result as the maximum and minimum values only occurred at the AQMS (Gimond, 2019).

The 2D visualization of the space-time cube showed that over the study period there is a downward trend in the PM_{2.5} concentration in 20 cities out of the 23 considered in the study area. Nearly all the AQMS along the Yangtze River portray a downward trend. This is further strengthened by the output of the emerging hot spot analysis. Most of the AQMS along the river show oscillating cold spots, while others see no pattern. The cities of Ningbo and Zhoushan AQMS observed persistent and oscillating cold spot patterns indicating low levels of PM_{2.5}. Over time, the overall trend in PM_{2.5} levels was expected to be higher due to the high annual energy output of the coal power stations. However, as observed in the space-time pattern analysis, that is not the case in this study. According to Xu et. al (2018), precipitation, wind speed, and relative

humidity have major influences on the removal or accumulation of air pollutants any of which could be contributing factors in the observed decrease of PM_{2.5} concentrations. Furthermore, the policies enacted by local governments could also be contributing to this downward trend. In contrast, a few cities (Hangzhou, Shaoxing, Jinhua, and Quzhou) in Zhejiang province and Xuzhou in Jiangsu showed an oscillating hot spot pattern indicating a rise in PM_{2.5} levels in the last month. While only the city of Suqian in Jiangsu displayed a sporadic hot spot pattern. Furthermore, being in a marine subtropical climate, the YRD is expected to have received lots of annual rainfall during the study period; however, data limitations made it impossible to consider precipitation into the analysis.

A multiple linear regression analysis between GWTC-2 weather types and average daily PM_{2.5} readings in March, April, May, and June 2015 and 2016 determined the effect of HW, W, and DW weather on PM_{2.5} in Jiangsu and Shanghai in the spring-to-summer months of 2015 as well as W for Jiangsu in the spring-to-summer months of 2016. In Zhejiang, W, H, and CFP weather influenced PM_{2.5} during March, April, May, and June of 2016. In the spring-to-summer months, these weather types influenced the product of the space-time pattern analysis in the oscillating hot spot and cold spot patterns. This study shows a connection between GWTC-2 weather types and PM_{2.5}; however further study with multiple years of data is needed to understand the seasonal effect of these weather types on particle pollution.

The main drawback of this study is that the PM_{2.5} readings were limited to only 16 months of data. Multiple years of data would help to understand the seasonal effects on PM_{2.5} longitudinally, but this study provides an essential first step in long-term monitoring and research. The data on the energy output from the operating power stations in YRD was insufficient, as it was only available annually. For an in-depth seasonal analysis of coal energy

output and PM_{2.5} concentration, more specific data would be required. In this study, precipitation data was not considered due to data unavailability. Future studies could include wind speed, relative humidity, precipitation, monthly coal power stations energy output, and other anthropogenic sources data, allowing for a principal component analysis (PCA) to better understand the problem of PM_{2.5} in the YRD.

The latest Air Laws in China are a sign of progress; however, the implementation is likely to fall short as the enforcement of these laws is weak (King, 2016). The responsibility of environmental protection in China is assigned to multiple agencies with overlapping mandates, hindering the enforcement of environmental law (Zhang & Cao, 2015). Another part of the problem is that most of the work of environmental protection is done locally, with the national government given limited authority. While the Ministry of Environmental Protection (MEP) can give guidance to local environmental protection bureaus, its actual power over them is weak. This is a problem because local governments are often more concerned with economic growth than with the environment, and polluting companies are often key generators of local economic activity (King, 2016). Corruption plays a massive role as Chinese officials have been known to take bribes from companies in exchange for ignoring violations (Huang, 2015). While China's anti-corruption efforts may help to stop such wrongdoings, the problem is likely to continue. Furthermore, China needs to reduce its coal power capacity by 40 percent over the next decade, to meet its climate goal stipulated in the Paris Agreement (Oberhaus, 2019). China is the world's leader in the manufacture of clean energy industrial components. as it produces the most solar panels, wind turbines, batteries, and electric vehicles (REN21, 2019). China prioritized pollution control from the 17 Sustainable Development Goals (SDGs) adopted by the United Nations to meet its climate goal set forth in the Paris Agreement (UNDP, 2015). If China can shift its

demand for coal energy to sustainable energy, China can sustain a healthy and stable long-term economic growth.

CONCLUSIONS

This study investigated ambient air readings recorded at 125 AQMS throughout the YRD region, examining trends and distribution of PM_{2.5} using TIBCO Spotfire, IDW interpolation, and space-time pattern analysis. The results from TIBCO Spotfire, IDW interpolation, and space-time pattern analysis demonstrated that trends in PM_{2.5} went down during the period of study. Furthermore, the multiple linear regression determined that HW, W, DW weather types affected the PM_{2.5} levels in Jiangsu and Shanghai during March, April, May, and June 2015. While during March, April, May, and June 2016 only W effected declining PM_{2.5} levels in Jiangsu and W, DW, and CFP in Zhejiang.

Understanding the spatial and temporal nature of PM_{2.5} emissions, policymakers in China must look to sectoral pathways that shift energy demand, implement power market reforms, act on new clean energy technology, and engage provincial leaders in a new investment approach (Layke, 2019). The findings of this research can serve basis for local governments in the YRD region to rethink their policy strategies by avoiding future coal powerplant development, implementing stronger Air Laws, levying fines for polluters, and lead the country towards a cleaner and more sustainable future.

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APPENDICES

Appendix A: Power Stations (Global Energy Monitor, 2020) – Jiangsu, Shanghai, Zhejiang

Appendix A-1. Number of operating power stations in Jiangsu; city and total power station units included.

| City | Number of Operating Power Stations | Total Units |
|--------------|------------------------------------|-------------|
| Suzhou | 20 | 56 |
| Zhenjiang | 13 | 42 |
| Xuzhou | 13 | 31 |
| Wuxi | 7 | 22 |
| Nantong | 3 | 11 |
| Taizhou | 3 | 9 |
| Yangzhou | 3 | 9 |
| Yancheng | 3 | 6 |
| Linagyangang | 3 | 9 |
| Huaien | 3 | 7 |
| Changzhou | 2 | 4 |
| Nanjing | 2 | 4 |
| Suqian | 1 | 2 |
| Total | 76 | 212 |

Appendix A-2. Number of operating power stations in Shanghai; total power station units included.

| Number of Power Stations | Total Units |
|--------------------------|-------------|
| 8 | 34 |

Appendix A-3. Number of operating power stations in Zhejiang; city and total power station units included.

| City | Number of Operating Power Stations | Total Units |
|----------|------------------------------------|-------------|
| Ningbo | 8 | 35 |
| Jiaxing | 5 | 14 |
| Lishui | 3 | 12 |
| Quzhou | 2 | 9 |
| Zhoushan | 2 | 6 |
| Huzhou | 2 | 6 |
| Shaoxing | 1 | 6 |
| Total | 23 | 88 |

Appendix B: GWTC-2 - Jiangsu, Shanghai, Zhejiang

Appendix B-1. The presence of GWTC-2 weather types during March, April, May, and June (2015) in Jiangsu province; last column has Avg. PM_{2.5} per weather type calculated.

| Type | Abbreviation | # Days Present | Avg. PM _{2.5} |
|--------------------|--------------|----------------|------------------------|
| Humid Cool | HC | 5 | 38.5 |
| Humid | H | 18 | 54.0 |
| Humid Warm | HW | 7 | 59.1 |
| Cool | C | 10 | 34.9 |
| Seasonal | S | 42 | 48.7 |
| Warm | W | 11 | 72.4 |
| Dry Cool | DC | 0 | N/A |
| Dry | D | 8 | 37.9 |
| Dry Warm | DW | 5 | 58.6 |
| Cold Front Passage | CFP | 2 | 47.6 |
| Warm Front Passage | WFP | 0 | N/A |

Appendix B-2. The presence of GWTC-2 weather types during March, April, May, and June (2016) in Jiangsu province; last column has Avg. PM_{2.5} per weather type calculated.

| Type | Abbreviation | # Days Present | Avg. PM _{2.5} |
|--------------------|--------------|----------------|------------------------|
| Humid Cool | HC | 12 | 33.3 |
| Humid | H | 15 | 44.5 |
| Humid Warm | HW | 14 | 53.0 |
| Cool | C | 6 | 33.6 |
| Seasonal | S | 34 | 42.6 |
| Warm | W | 8 | 63.0 |
| Dry Cool | DC | 1 | 19.7 |
| Dry | D | 8 | 45.7 |
| Dry Warm | DW | 1 | 54.4 |
| Cold Front Passage | CFP | 3 | 45.1 |
| Warm Front Passage | WFP | 0 | N/A |

Appendix B-3. The presence of GWTC-2 weather types during March, April, May, and June (2015) in Shanghai; last column has Avg. PM_{2.5} per weather type calculated.

| Type | Abbreviation | # Days Present | Avg. PM _{2.5} |
|--------------------|--------------|----------------|------------------------|
| Humid Cool | HC | 2 | 22.9 |
| Humid | H | 19 | 52.2 |
| Humid Warm | HW | 8 | 53.2 |
| Cool | C | 7 | 34.5 |
| Seasonal | S | 37 | 41.9 |
| Warm | W | 14 | 67.9 |
| Dry Cool | DC | 0 | N/A |
| Dry | D | 11 | 38.2 |
| Dry Warm | DW | 5 | 68.8 |
| Cold Front Passage | CFP | 5 | 50.6 |
| Warm Front Passage | WFP | 0 | N/A |

Appendix B-4. The presence of GWTC-2 weather types during March, April, May, and June (2016) in Shanghai; last column has Avg. PM_{2.5} per weather type calculated.

| Spring-to Summer: March, April, May, and June 2016 (Shanghai) | | | |
|---|--------------|----------------|------------------------|
| Type | Abbreviation | # Days Present | Avg. PM _{2.5} |
| Humid Cool | HC | 2 | 74.7 |
| Humid | H | 17 | 40.7 |
| Humid Warm | HW | 5 | 36.0 |
| Cool | C | 0 | N/A |
| Seasonal | S | 39 | 49.1 |
| Warm | W | 13 | 59.5 |
| Dry Cool | DC | 1 | 34.8 |
| Dry | D | 8 | 58.4 |
| Dry Warm | DW | 1 | 37.2 |
| Cold Front Passage | CFP | 3 | 50.7 |
| Warm Front Passage | WFP | 0 | N/A |

Appendix B-5. The presence of GWTC-2 weather types during March, April, May, and June (2015) in Zhejiang province; last column has Avg. PM_{2.5} per weather type calculated.

| Type | Abbreviation | # Days Present | Avg. PM _{2.5} |
|--------------------|--------------|----------------|------------------------|
| Humid Cool | HC | 1 | 51.1 |
| Humid | H | 15 | 42.0 |
| Humid Warm | HW | 13 | 46.6 |
| Cool | C | 9 | 41.6 |
| Seasonal | S | 33 | 39.3 |
| Warm | W | 17 | 39.9 |
| Dry Cool | DC | 1 | 61.4 |
| Dry | D | 11 | 44.8 |
| Dry Warm | DW | 4 | 42.6 |
| Cold Front Passage | CFP | 4 | 52.8 |
| Warm Front Passage | WFP | 0 | N/A |

Appendix B-6. The presence of GWTC-2 weather types during March, April, May, and June (2016) in Zhejiang province; last column has Avg. PM_{2.5} per weather type calculated.

| Type | Abbreviation | # Days Present | Avg. PM _{2.5} |
|--------------------|--------------|----------------|------------------------|
| Humid Cool | HC | 1 | 22.4 |
| Humid | H | 26 | 35.0 |
| Humid Warm | HW | 8 | 35.0 |
| Cool | C | 5 | 35.9 |
| Seasonal | S | 35 | 36.5 |
| Warm | W | 17 | 32.8 |
| Dry Cool | DC | 3 | 39.0 |
| Dry | D | 1 | 52.6 |
| Dry Warm | DW | 1 | 66.3 |
| Cold Front Passage | CFP | 3 | 22.5 |
| Warm Front Passage | WFP | 0 | N/A |